



# Data quality and reproducibility in preclinical research

**Malcolm Macleod**

**Collaborative Approach to Meta-Analysis and Review of  
Animal Data from Experimental Studies**

and

**University of Edinburgh**

**CAMARADES: Bringing evidence to translational medicine**



# Disclosures



- UK Commission for Human Medicines
- EMA Neurology SAG
- UK Animals in Science Committee
- Independent Statistical Standing Committee, CHDI Foundation
- Avilex Pharma Research Steering Group (on behalf of Wellcome Trust)



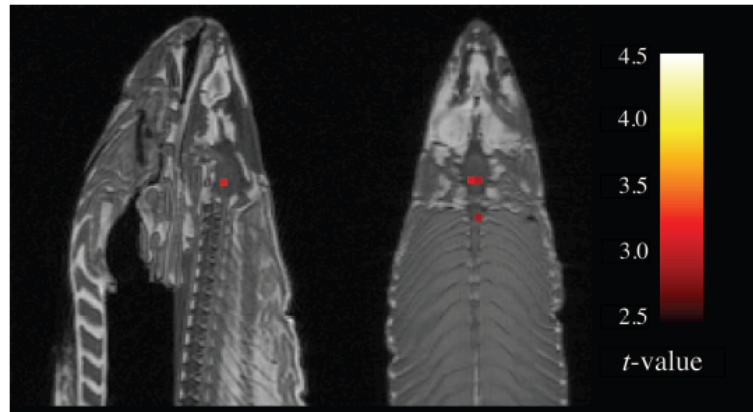
I am not in the office at the moment. Send any work to be translated.



## Neural Correlates of Interspecies Perspective Taking in the Post-Mortem Atlantic Salmon: An Argument For Proper Multiple Comparisons Correction

Craig M. Bennett<sup>1\*</sup>, Abigail A. Baird<sup>2</sup>, Michael B. Miller<sup>1</sup> and George L. Wolford<sup>3</sup>

One mature Atlantic Salmon (*Salmo salar*) participated in the fMRI study. The salmon measured approximately 18 inches long, weighed 3.8 lbs, and was not alive at the time of scanning. It is not known if the salmon was male or female, but given the post-mortem state of the subject this was not thought to be a critical variable.



The task administered to the salmon involved completing an open-ended mentalizing task. The salmon was shown a series of photographs depicting human individuals in social situations with a specified emotional valence, either socially inclusive or socially exclusive. The salmon was asked to determine which emotion the individual in the photo must have been experiencing.

Several active voxels were observed in a cluster located within the salmon's brain cavity (see Fig. 1). The size of this cluster was 81 mm<sup>3</sup> with a cluster-level significance of  $p = 0.001$ .

Either we have stumbled onto a rather amazing discovery in terms of post-mortem ichthyological cognition, or there is something a bit off with regard to our uncorrected statistical approach.



Winner of the 2012 Ignoble Prize for Neuroscience



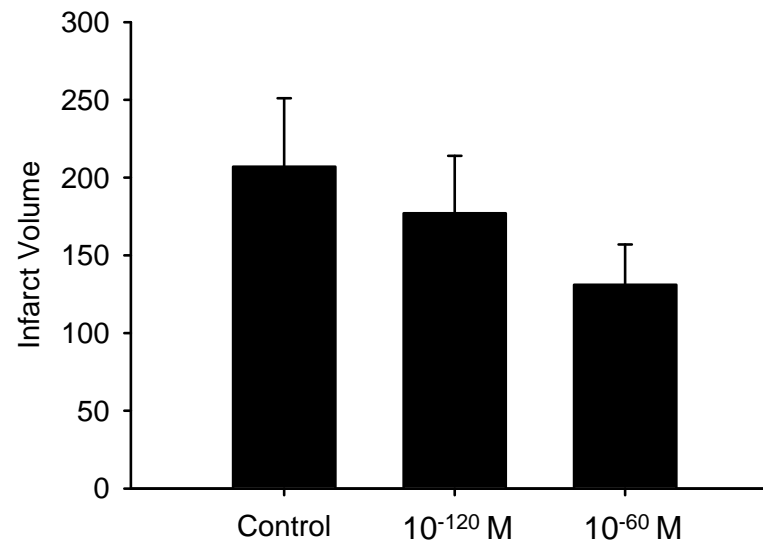
## Treatment of experimental stroke with low-dose glutamate and homeopathic *Arnica montana*\*

*W. Jonas*<sup>1</sup>, *Y. Lin*<sup>2</sup>, *A. Williams*<sup>2</sup>, *F. Tortella*<sup>2</sup>, *R. Tuma*<sup>3</sup>

<sup>1</sup> Uniformed Services University of the Health Sciences, Bethesda, Maryland

<sup>2</sup> Walter Reed Army Institute of Research, Washington, D.C.

<sup>3</sup> Temple University, Philadelphia, PA







# Typhoid fever

## Moragues et al 1944

TABLE V

*Effect of Penicillin on Murine Typhus in Mice, Experiment V*  
*Moderate Dosate (Mouse Brain Intraperitoneally) Approaching the Minimal Lethal Dosage*  
 Room temperature 65–72°F.

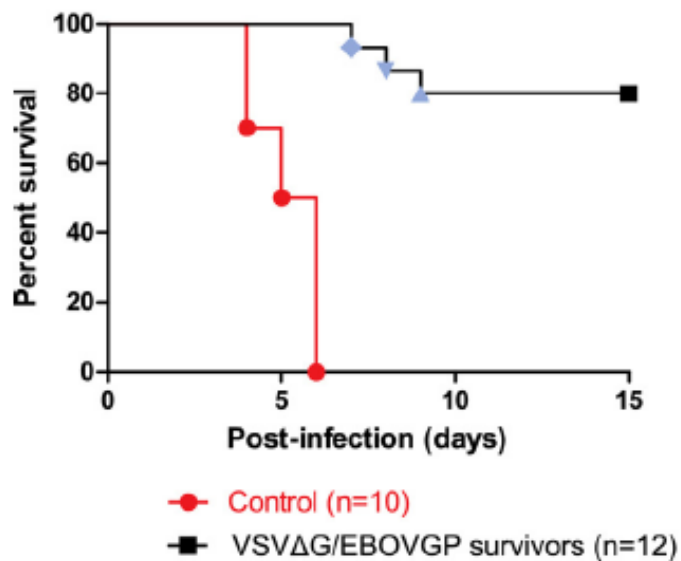
Mouse No.	Treatment begun	Dosage of penicillin	Route	Illness began	Survival period	Remarks
				<i>days</i>	<i>days</i>	
V C <sub>1</sub>	Control			7	8	
V C <sub>2</sub>	Control			6½	7	
V C <sub>3</sub>	Control			6½	7	
V C <sub>4</sub>	Control			6½	7½	
V C <sub>5</sub>	Control			7	7½	
V C <sub>6</sub>	Control			6½	7½	
V P <sub>1</sub>	7 hrs. after injection	930 units per day in divided doses at 9 a.m., 11 a.m., 1 p.m., 4 p.m., 7 p.m., 9 p.m., and 12 mid.	I.P.	No illness		Survived
V P <sub>2</sub>			I.P.	No illness		Survived
V P <sub>3</sub>			I.P.	No illness		Survived
V P <sub>4</sub>			I.P.	No illness		Survived
V P <sub>5</sub>			I.P.	No illness		Survived
V P <sub>6</sub>			I.P.	No illness		Survived



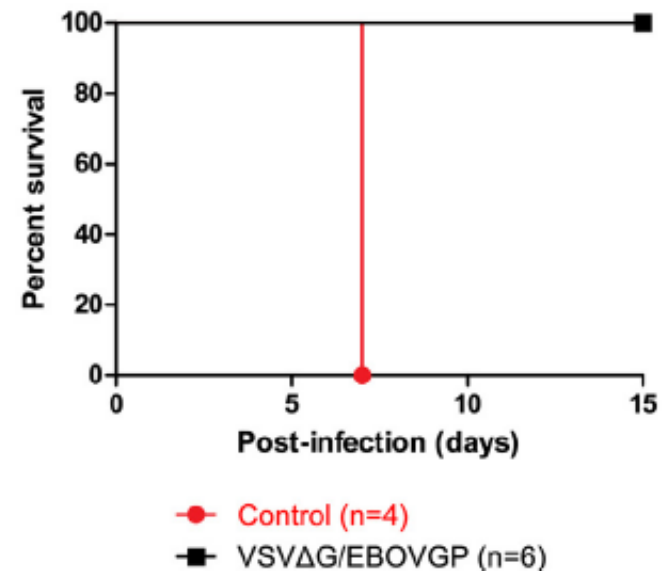
# VSV vaccine for EBOLA 2016



BALB/c mice, challenged  
at 12 months



Hartley Guinea Pig,  
challenged at 18 months





# Definitions

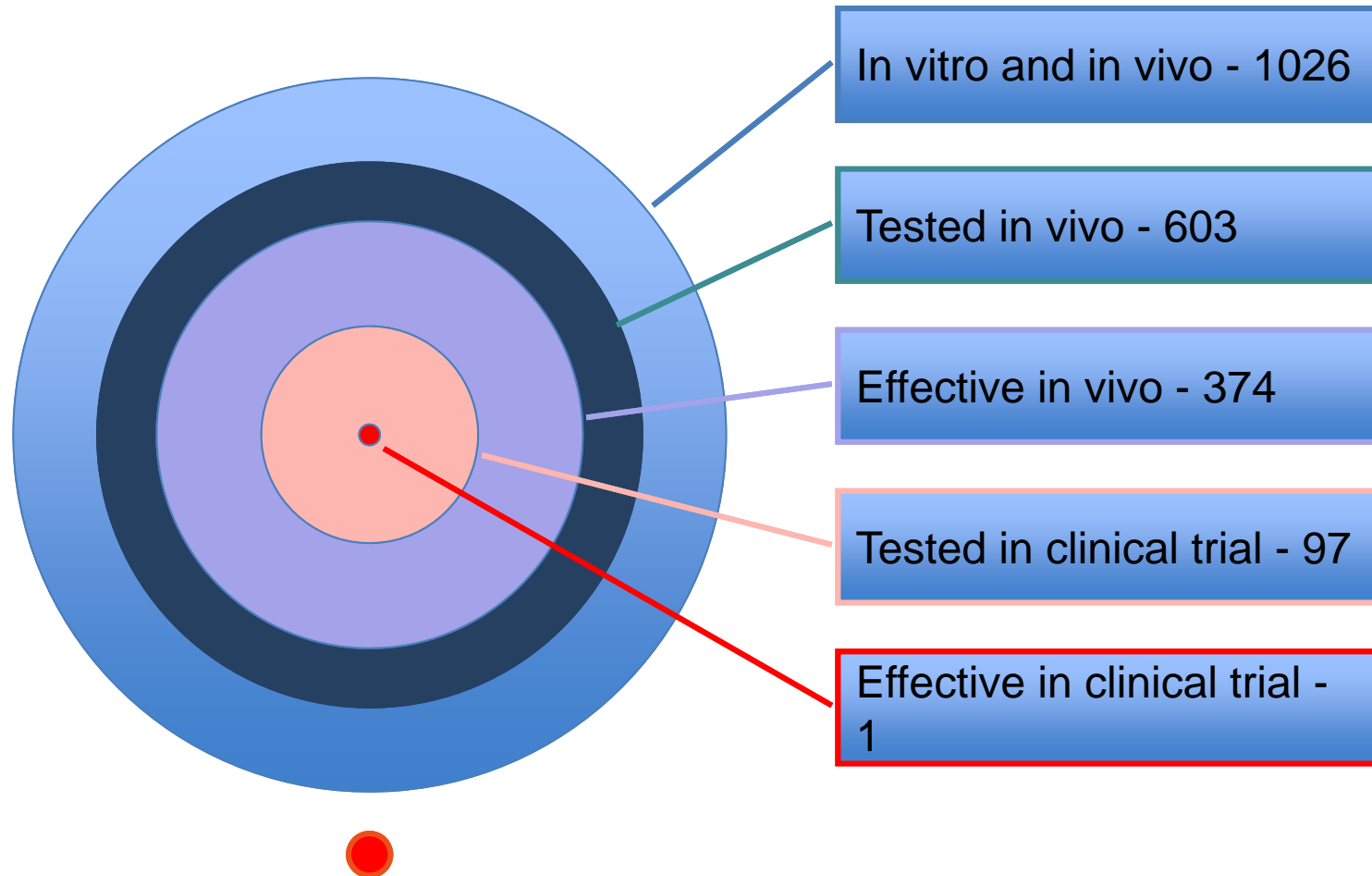
**Research:** the process of producing “facts” (or rather predictions) which can be used by yourself or others to inform further research, clinical practice or other exploitation

**Research Improvement Activity:** Things done by stakeholders to increase the usefulness of research with which they are associated: either by the choice of research question or the certainty of the predictions made





# 1026 interventions in experimental stroke



O' Collins et al, 2006

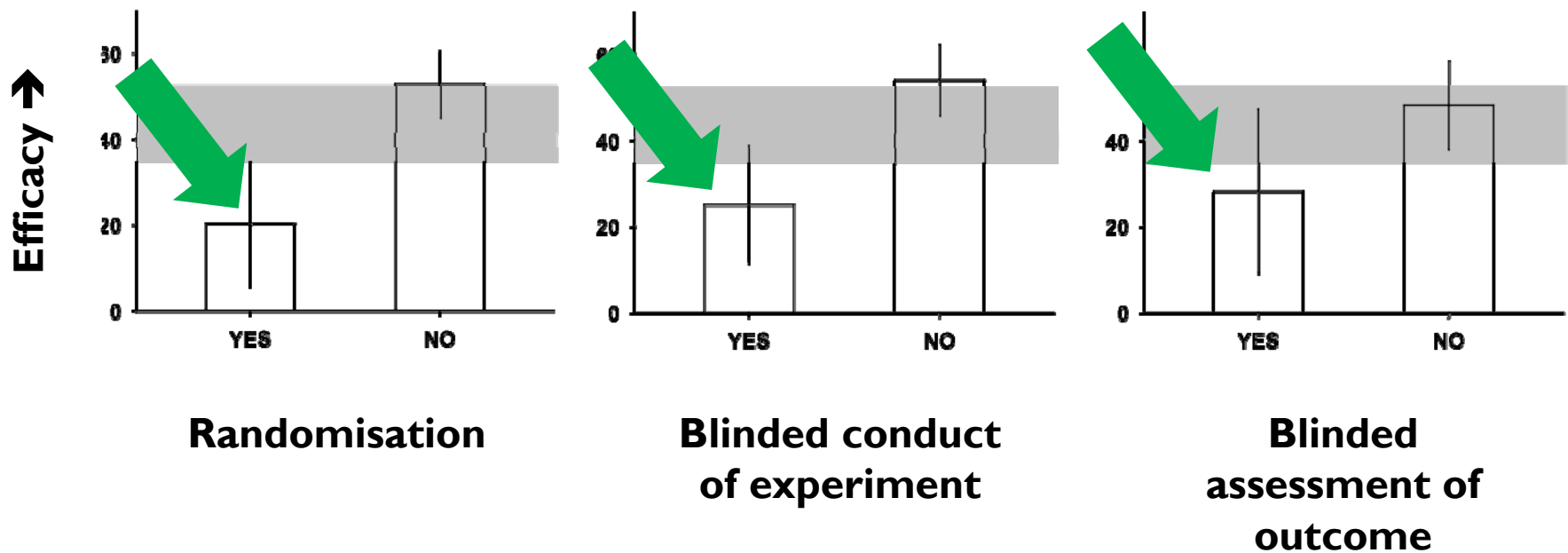
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# Risk of bias in animal studies



- Infarct Volume
  - 11 publications, 29 experiments, 408 animals
  - Improved outcome by 44% (35-53%)



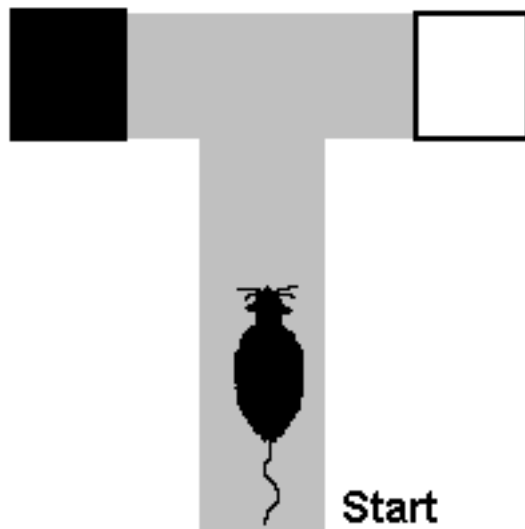
Macleod et al, 2008



# You can usually find what you're looking for ...



- 12 graduate psychology students
- 5 day experiment: rats in T maze with dark arm alternating at random, and the dark arm always reinforced
- 2 groups – “Maze Bright” and “Maze dull”



Group	Day 1	Day 2	Day 3	Day 4	Day 5
“Maze bright”	1.33	1.60	2.60	2.83	3.26
“Maze dull”	0.72	1.10	2.23	1.83	1.83
$\Delta$	+0.60	+0.50	+0.37	+1.00	+1.43

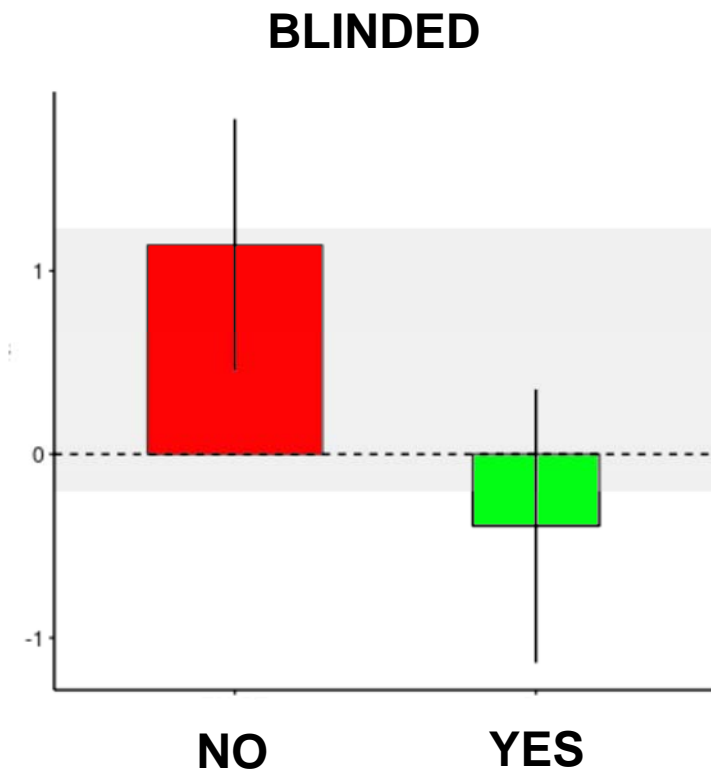
Rosenthal and Fode (1963), Behav Sci 8, 183-9



# Treating “depression” in animals with probiotics



ROB item	Percent Reporting
Random Allocation to Group	25%
Blinded Assessment of Outcome	44%
Sample Size Calculation	6%
Reporting of Animal Exclusions	12%



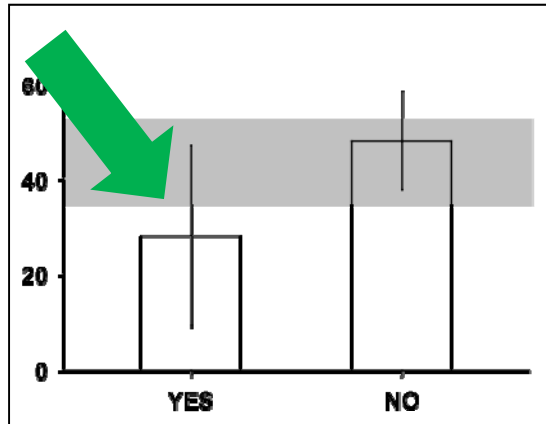
Credit: Anthony Shek



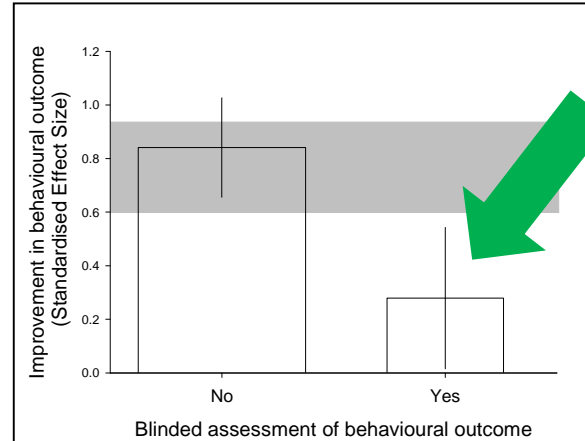
# Evidence from various neuroscience domains ...



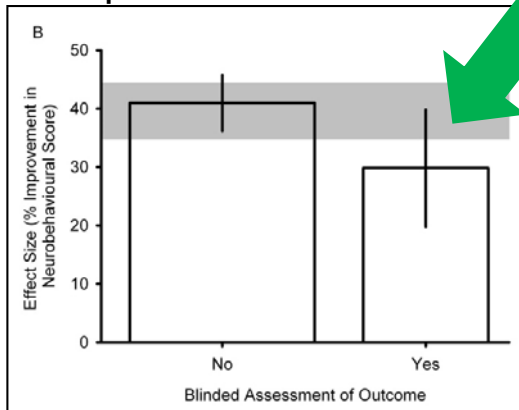
Stroke



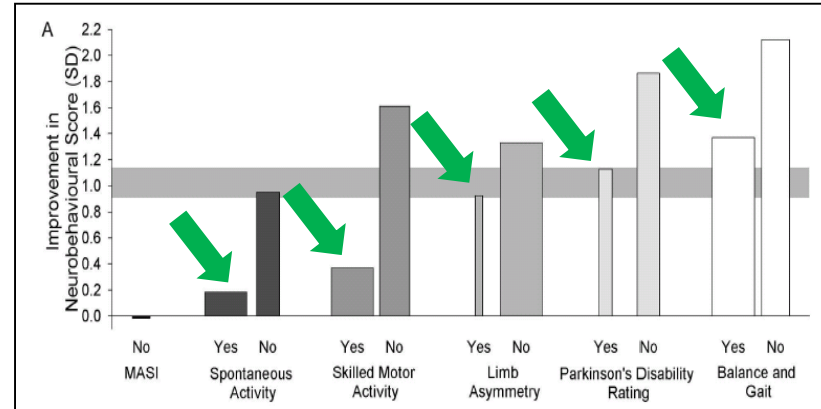
Alzheimer's disease



Multiple Sclerosis



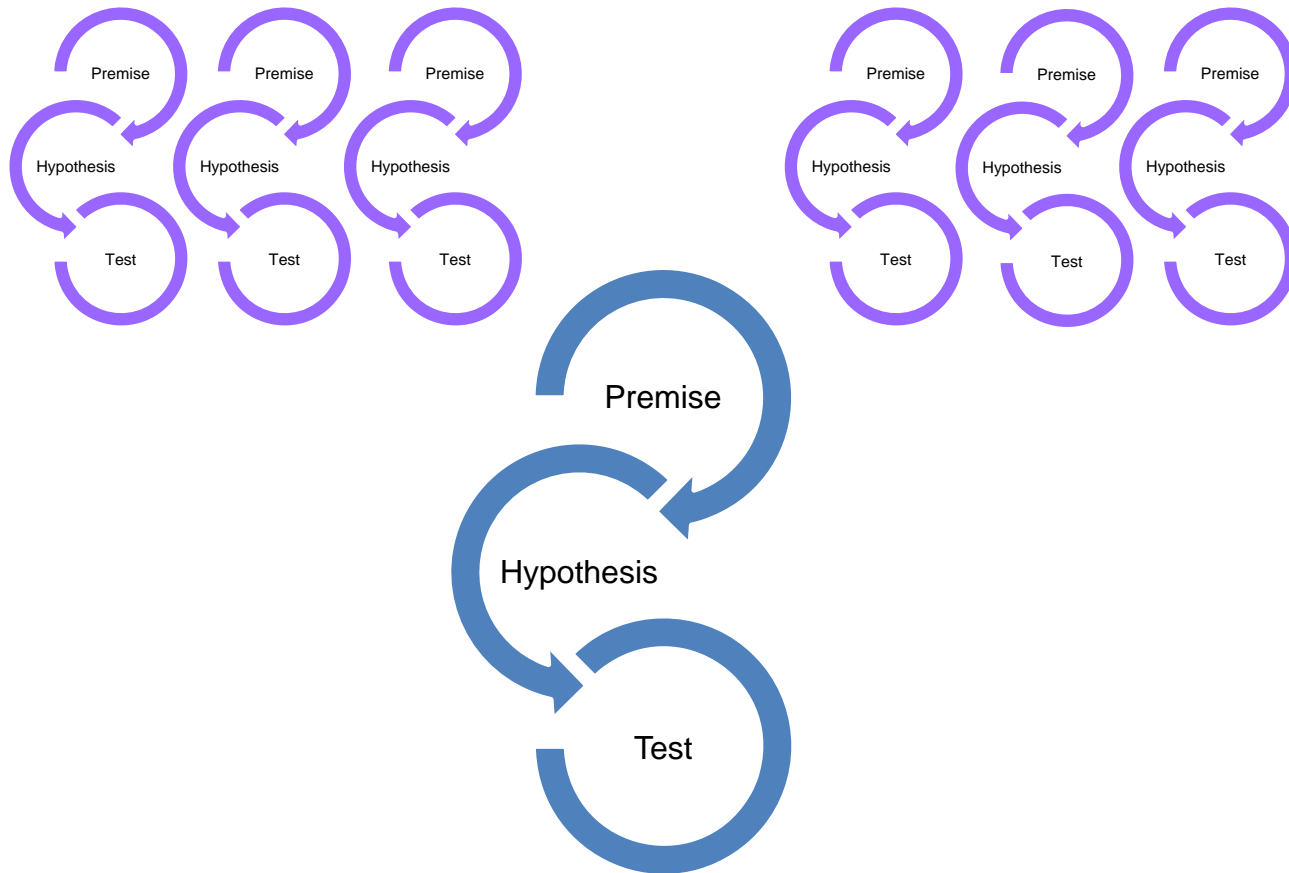
Parkinson's disease



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# The (polluted) research cycle







# The scale of the problem

## RAE 1173

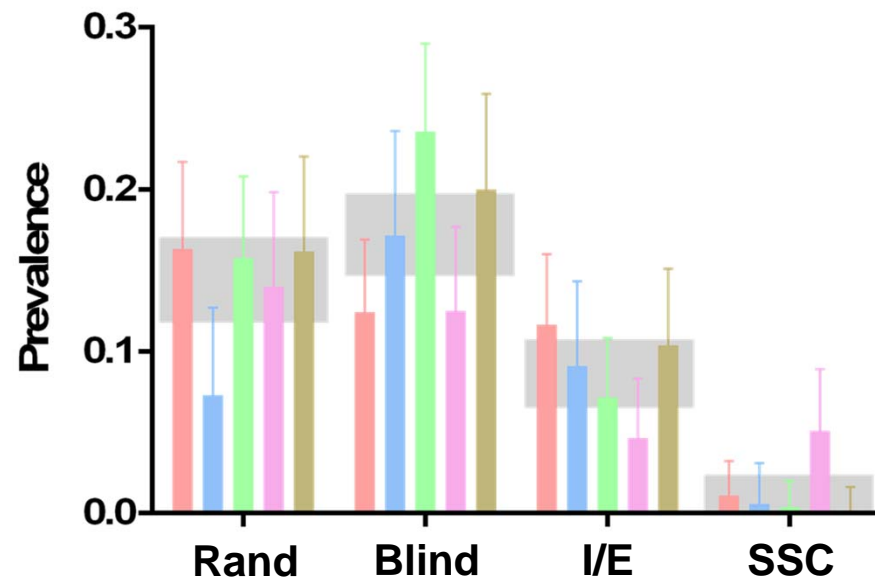


**rae2008**  
Research Assessment Exercise

“an outstanding contribution to the internationally excellent position of the UK in biomedical science and clinical/translational research.”

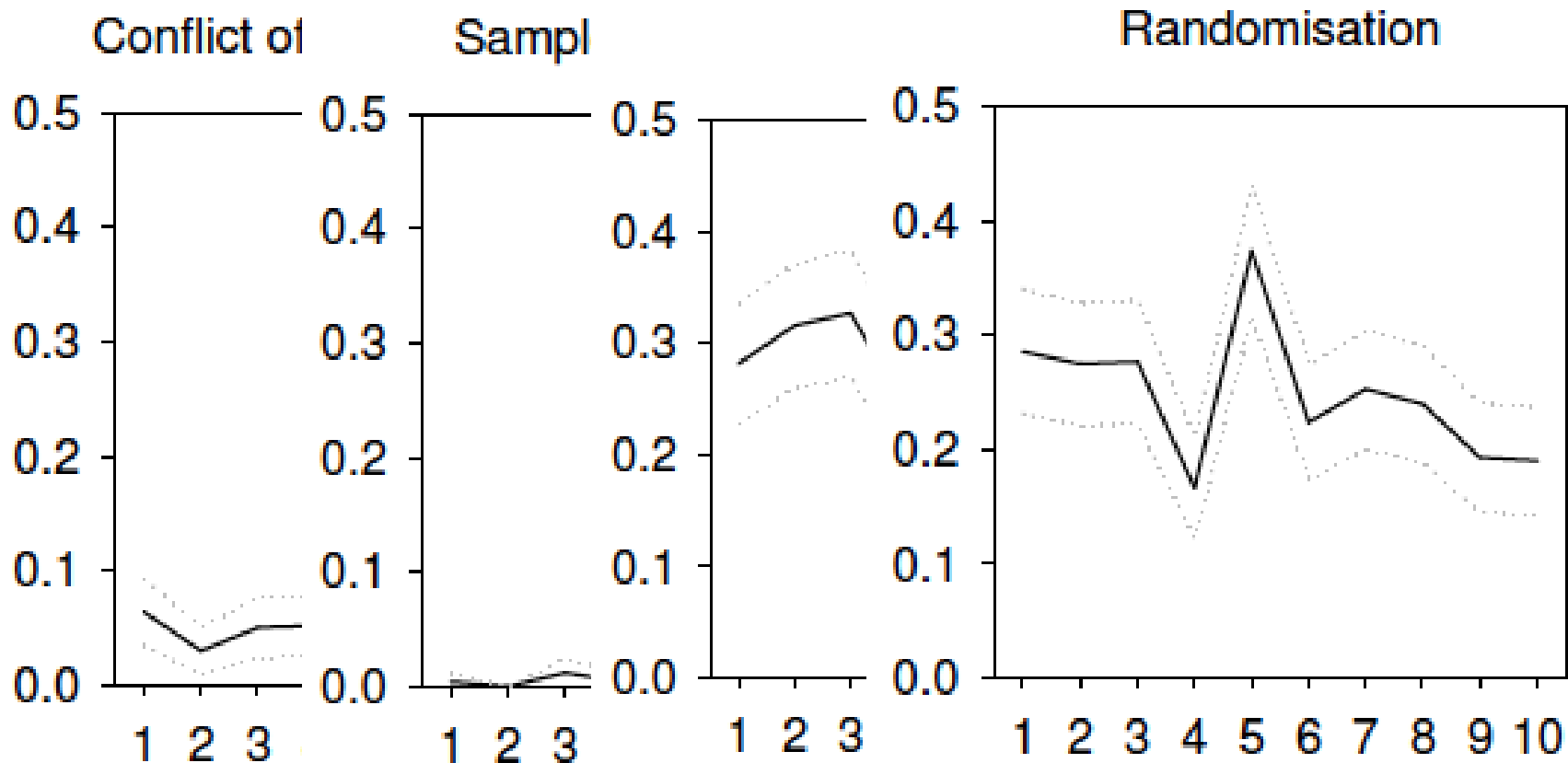
“impressed by the strength within the basic neurosciences that were returned ...particular in the areas of behavioural, cellular and molecular neuroscience”

1173 publications using non human animals, published in 2009 or 2010, from 5 leading UK universities





# Reporting of risk bias items by decile of journal impact factor

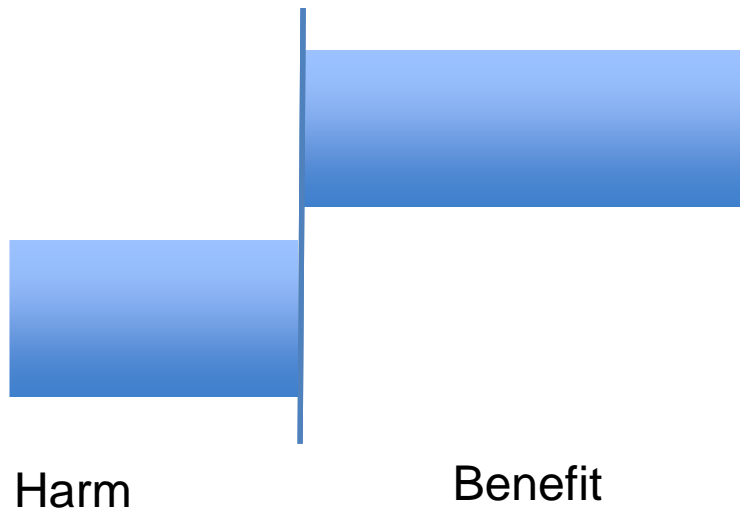




# Different patterns of publication bias in different fields



	outcome	observed	corrected	
Disease models	improvement	40%	30%	Less improvement
Toxicology model	harm	0.32	0.56	More harm





# Small group sizes and publication bias conspire together

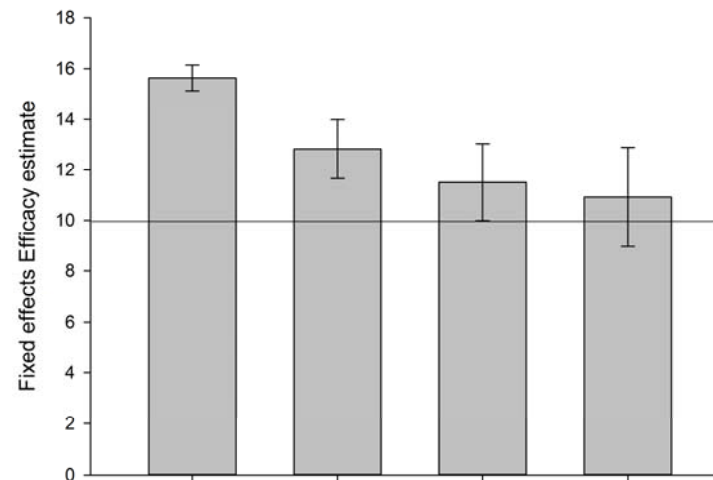
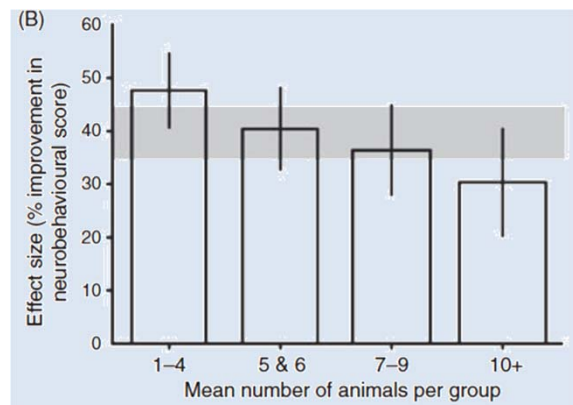


Simulation: 1000 studies

Complete publication bias (anything  $p > 0.05$  unpublished)

True effect size 10, SD 10

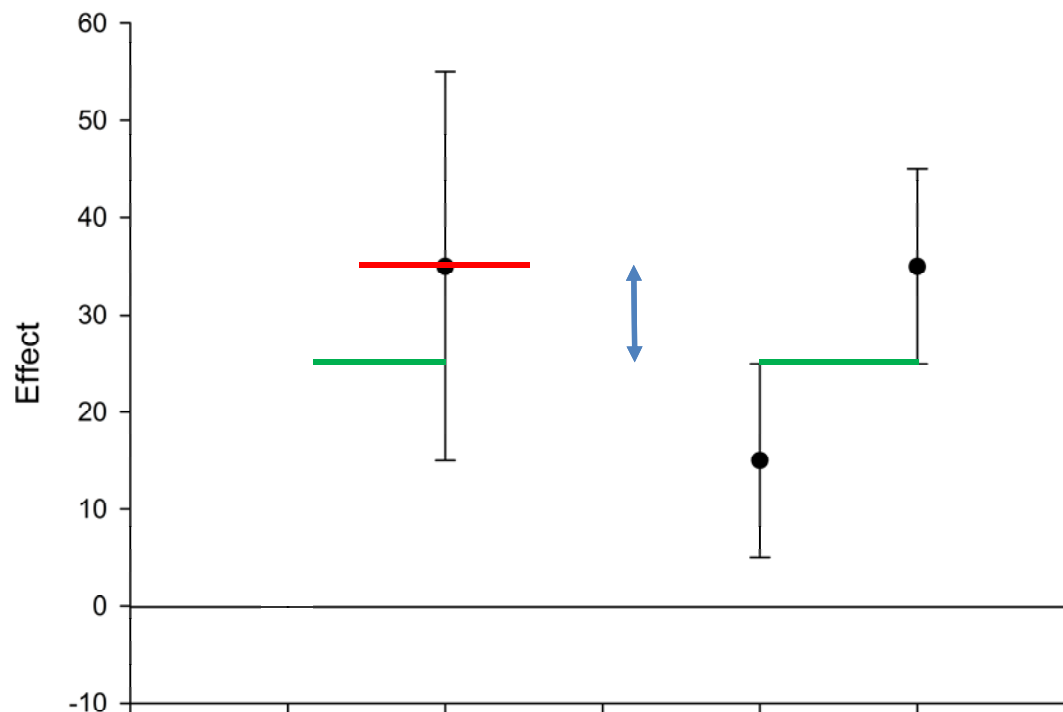
Number of animals per group	5	10	15	20
% of studies published	30%	54%	76%	86%





# How does that work?

Two sets of studies, one underpowered



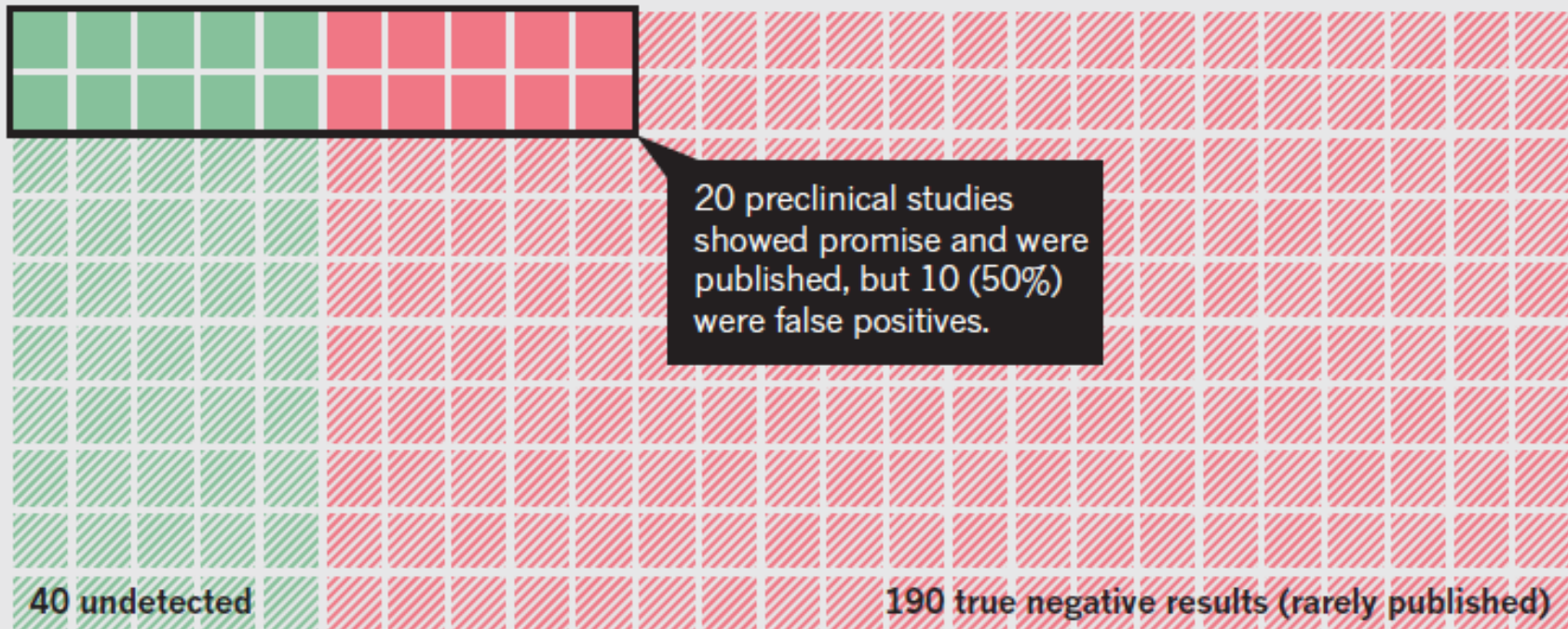


# Say 250 studies ...

**STATUS QUO:** Most studies have a statistical power of only 20% and a  $P$  value of 0.05, meaning many more false findings (PPV of 50%). This reflects a sample size of about 10 mice per study.

10 promising  
molecules found

10 false  
positives found



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...with  $p < 0.05$ , power @ 80%



**PROPOSED STANDARDS:** To achieve a PPV of 95%, study results would need a  $P$  value of 0.01 and a large enough sample size to reach 80% statistical power (typically >75 mice per study).

40 promising  
molecules found

2 false  
positives found

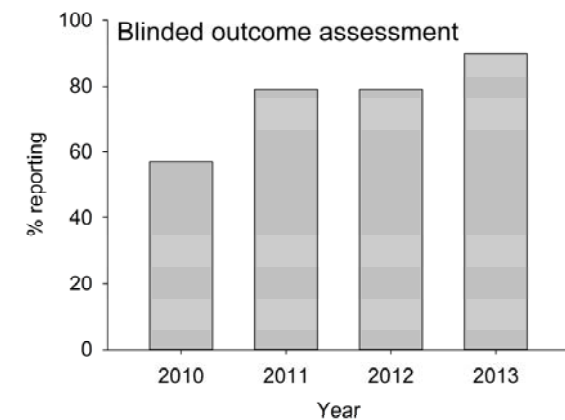
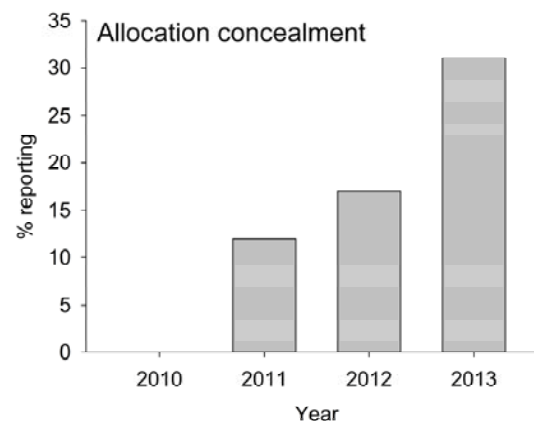
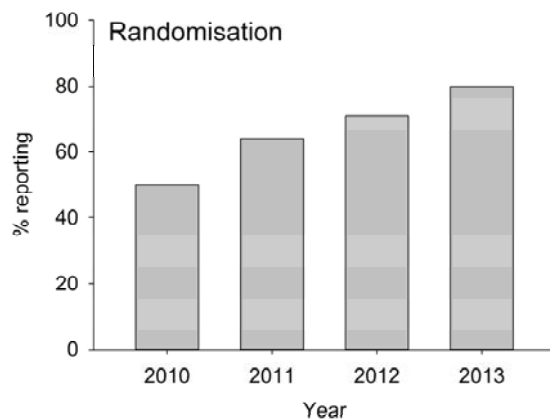




## Comments, Opinions, and Reviews

### Good Laboratory Practice Preventing Introduction of Bias at the Bench

Malcolm R. Macleod; Marc Fisher; Victoria O'Collins; Emily S. Sena; Ulrich Dirnagl;  
Philip M.W. Bath; Alistair Buchan; H. Bart van der Worp; Richard Traystman; Kazuo Minematsu;  
Geoffrey A. Donnan; David W. Howells



Minnerup et al, 2016



# Ramirez et al Circ Res 2017



Supplemental Table: Comparison of study design element implementation in preclinical studies before and after the implementation of the *Stroke* Basic Science Checklist, stratified by journal of publication

	Period 1* <i>n</i> (%)	Period 2* <i>n</i> (%)	Crude OR (95% CI)	<i>P</i>	Adjusted OR (95% CI) <sup>†</sup>	<i>P</i> <sup>†</sup>
<i>Circulation</i>	<i>n</i> =464	<i>n</i> =208				
Randomization	107 (23.1)	36 (17.3)	0.7 (0.5-1.1)	0.093	0.7 (0.4-1.1)	0.119
Blinding	169 (36.4)	59 (28.4)	0.7 (0.5-1.0)	0.042	0.7 (0.5-1.0)	0.043
Sample size estimation	7 (1.5)	5 (2.4)	1.6 (0.5-5.1)	0.422	NR	
Inclusion of both sexes	64 (13.8)	29 (13.9)	1.0 (0.6-1.6)	0.959	1.0 (0.6-1.6)	0.967
<i>Circulation Research</i>	<i>n</i> =303	<i>n</i> =183				
Randomization	35 (11.6)	29 (15.8)	1.4 (0.8-2.5)	0.176	1.4 (0.8-2.5)	0.261
Blinding	93 (30.7)	60 (32.8)	1.1 (0.7-1.6)	0.630	0.9 (0.6-1.4)	0.788
Sample size estimation	1 (0.3)	1 (0.3)	1.7 (0.1-26.7)	0.721	NR	
Inclusion of both sexes	57 (18.8)	33 (18.0)	0.9 (0.6-1.5)	0.830	1.0 (0.6-1.6)	0.937
<i>Hypertension</i>	<i>n</i> =485	<i>n</i> =375				
Randomization	104 (21.4)	81 (21.6)	1.0 (0.7-1.4)	0.956	1.2 (0.9-1.7)	0.298
Blinding	101 (20.8)	86 (22.9)	1.1 (0.8-1.6)	0.457	1.1 (0.8-1.5)	0.617
Sample size estimation	0 (0)	1 (0.3)	→∞ (0.0-∞)	0.946	NR	
Inclusion of both sexes	43 (8.9)	36 (9.6)	1.1 (0.7-1.7)	0.712	1.1 (0.7-1.7)	0.798
<i>Stroke</i>	<i>n</i> =316	<i>n</i> =185				
Randomization	120 (38.0)	119 (64.3)	2.9 (2.0-4.3)	<0.0001	3.2 (2.1-4.7)	<0.0001
Blinding	171 (54.1)	144 (77.8)	3.0 (2.0-4.5)	<0.0001	3.0 (2.0-4.5)	<0.0001
Sample size estimation	10 (3.2)	35 (18.9)	7.1 (3.4-14.8)	<0.0001	8.2 (3.7-18.4)	<0.0001
Inclusion of both sexes	15 (4.7)	20 (10.8)	2.4 (1.2-4.9)	0.012	2.4 (1.2-4.9)	<0.0001
<i>ATVB</i>	<i>n</i> =476	<i>n</i> =401				
Randomization	61 (12.8)	48 (12.0)	0.9 (0.6-1.4)	0.706	0.9 (0.6-1.4)	0.668
Blinding	130 (27.3)	97 (24.2)	0.8 (0.6-1.2)	0.293	0.7 (0.5-1.0)	0.026
Sample size estimation	2 (0.4)	10 (2.5)	6.1 (1.3-27.8)	0.021	NR	
Inclusion of both sexes	72 (15.1)	52 (13.0)	0.8 (0.6-1.2)	0.361	0.8 (0.6-1.3)	0.411

NR: not reported due to small number of events per predictor variable; OR: odds ratio

\*Periods 1 and 2 correspond to before and after the date of implementation of the 'Basic Science Checklist' by *Stroke*, respectively

<sup>†</sup>Adjusted for cardiovascular disease studied and animal model used

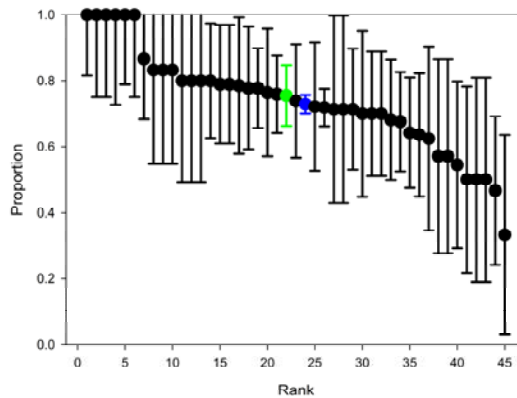




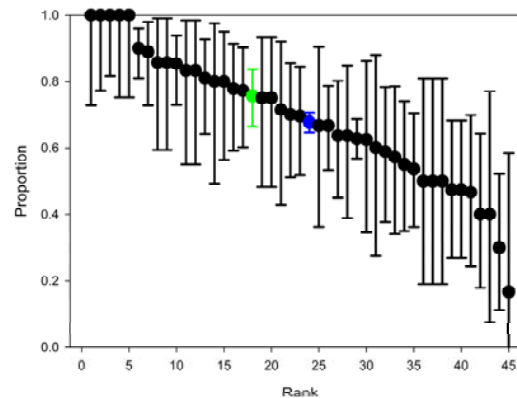
# Quality reporting by Journal MCAO 2014-16



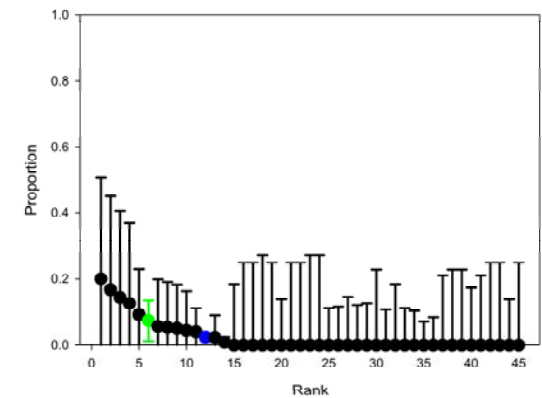
Randomisation



Blinding



Power calculation



Total in Blue

PLoS One in Green

Bahor et al Clinical Science 2017



# The replication difficulty ....

- Bayer: 53 of 67 findings did not replicate
- Amgen: 47 of 53 findings did not replicate
- Psychology:
  - positive findings in
    - 97% of original studies
    - 36% of replications
  - Mean effect size fell from 0.403 to 0.197
- Cancer Biology:
  - 3 of 5 did not replicate

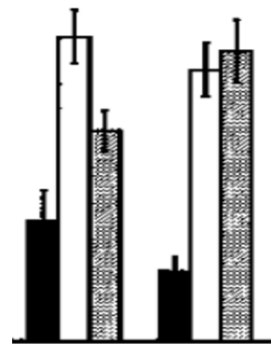


What are the causes?

- ? Fraud
- ? False positive studies +/- dubious research practices
- ? Meta- (sectoral) problems like perverse incentives and publication bias
- ? True biological heterogeneity of observed effects



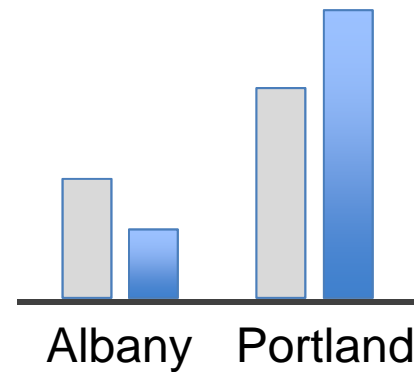
# Crabbe (Science 1999)



129/Sv-ter

5HT1B-/-

■ Portland  
□ Edmonton  
■ Albany



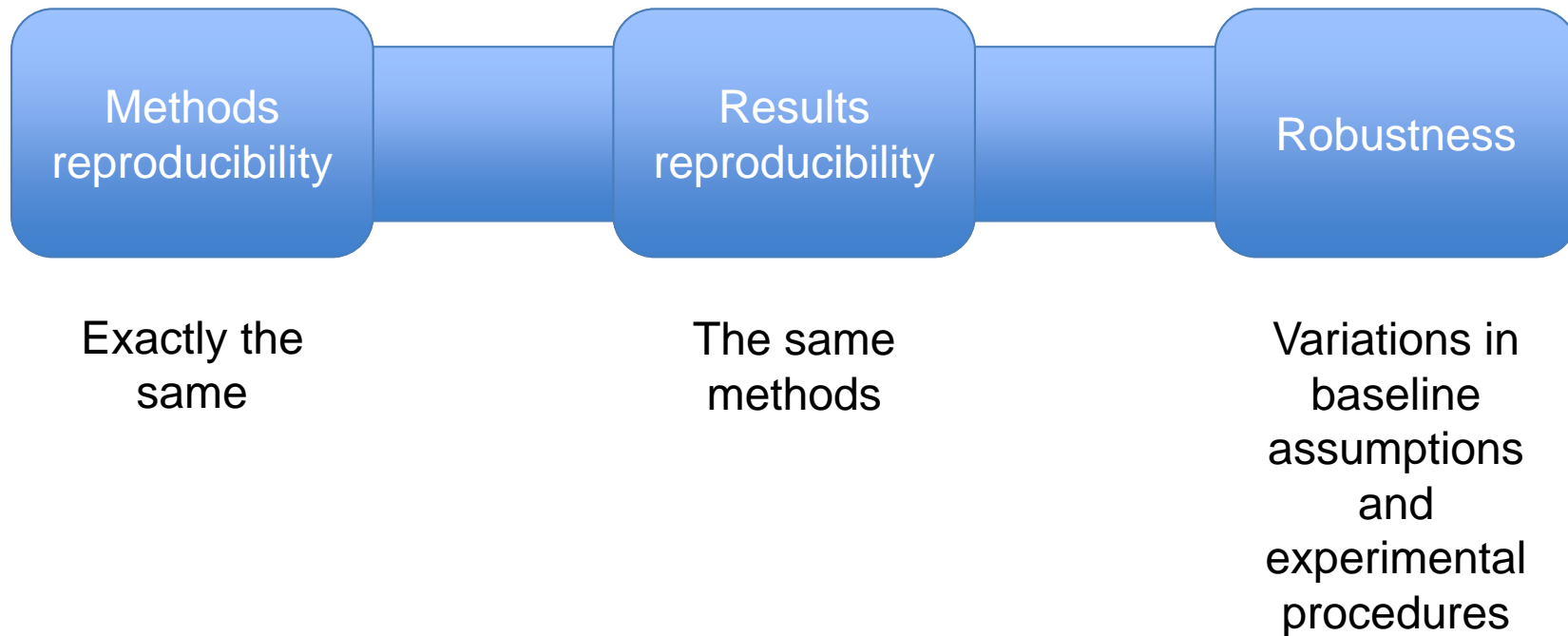
Task	Measure	Eight Genotypes	Three Sites	Two Sexes	Local vs Shipped	Genotype x Site	Genotype x Sex	Genotype x Ship	Multiple R <sup>2</sup>
Open field	Distance in 15 min	.600	.157	---	---	.059	.045	---	.604
Open field	# vertical movements	.788	.281	.039	---	---	---	---	.772
Cocaine	Difference from Day 1	.338	.053	---	---	.086	---	---	.342
Plus maze	Total arm entries	.385	.327	---	---	.210	---	---	.660
Plus maze	Time in open arms	.082	.212	---	---	.066	---	---	.266
Water maze	Mean escape latency	.221	---	---	.026	---	---	---	.177
Alcohol preference	Alcohol consumed (g/kg)	.483	---	.043	---	---	---	---	.451
Body size	Weight (g)	.408	.204	.637	---	.071	.070	---	.698





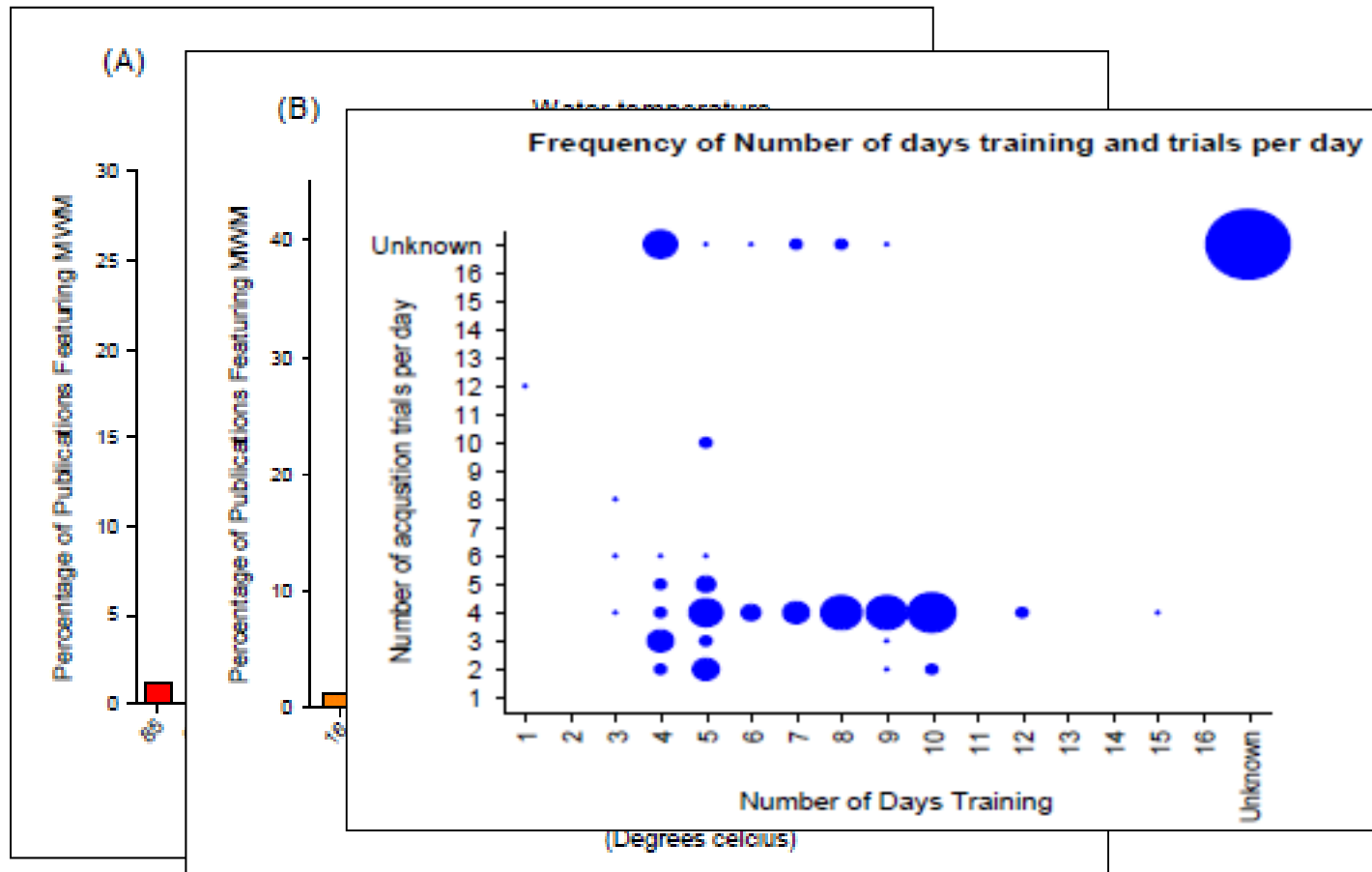
# Terms (Goodman et al)

- **Methods reproducibility** is the ability to implement, as exactly as possible, the experimental and computational procedures, with the same data and tools, to obtain the same results.
- **Results reproducibility** is the production of corroborating results in a new study, having followed the same experimental methods.
- **Inferential reproducibility** is the making of knowledge claims of similar strength from a study replication or reanalysis.
- **Robustness:** the stability of experimental conclusions to variations in either baseline assumptions or experimental procedures
- **Generalizability:** the persistence of an effect in settings different from and outside of an experimental framework.



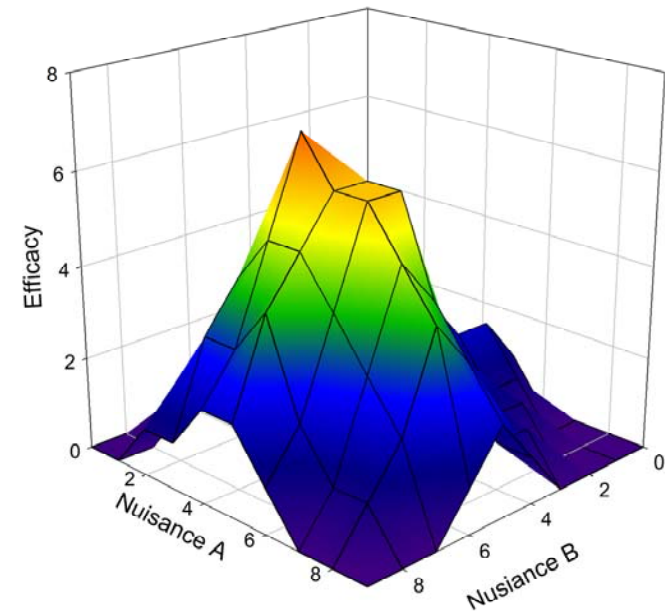
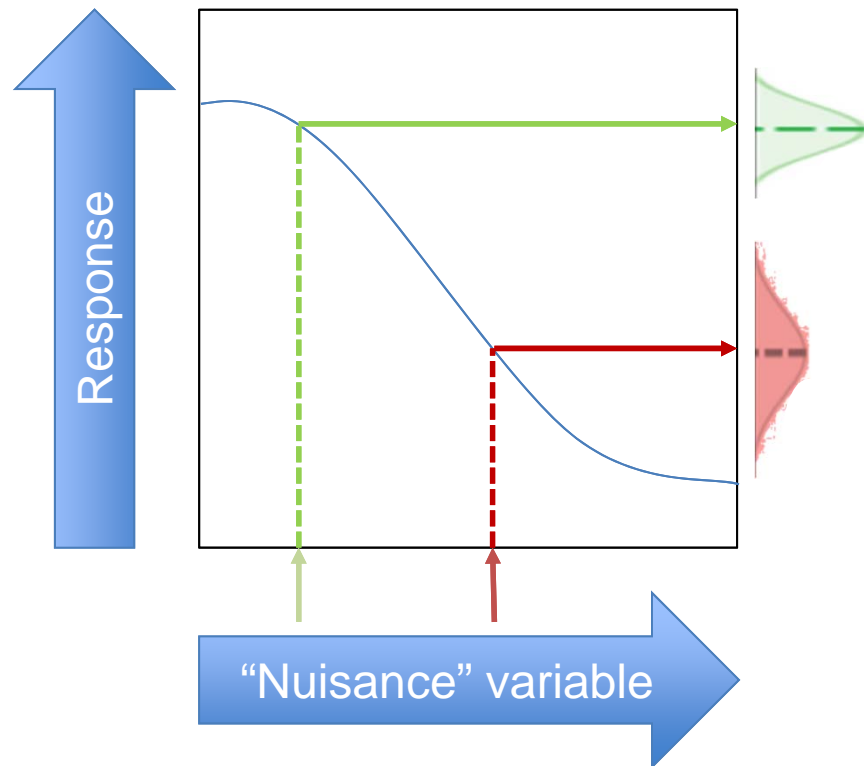


# Use of the Morris Water Maze





# Reaction norms (Voelkl 2016)





# Reflections

- Nuisance variables may be known or unknown
- Sampling the impact of nuisance variables without knowing what you are dealing with is only preliminary (i.e. “Do they exist?”)
- Investigating the impact of known and potential nuisance variables is science – coordinated, organised, stratified multi-centre studies



# Lifespan in worms

Source of variation	Developmental Rate	Fertility
Genetic	83.1%	63.3%
Between labs	8.3%	7.9%
Within labs	3.8%	5.6%
Individual	4.8%	23.3%

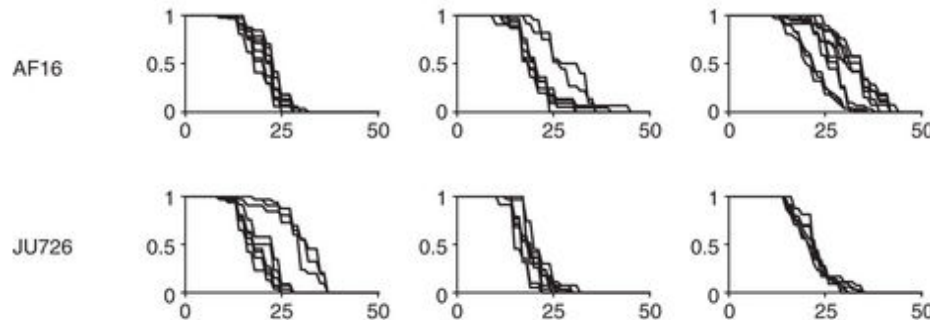
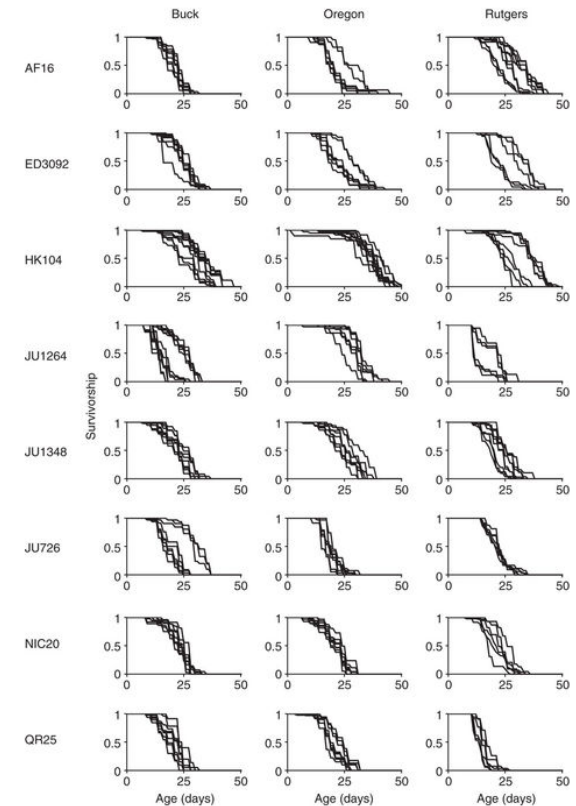


Figure 3: Variation in longevity within labs for each replicate plate for eight natural isolates of *C. briggsae*.



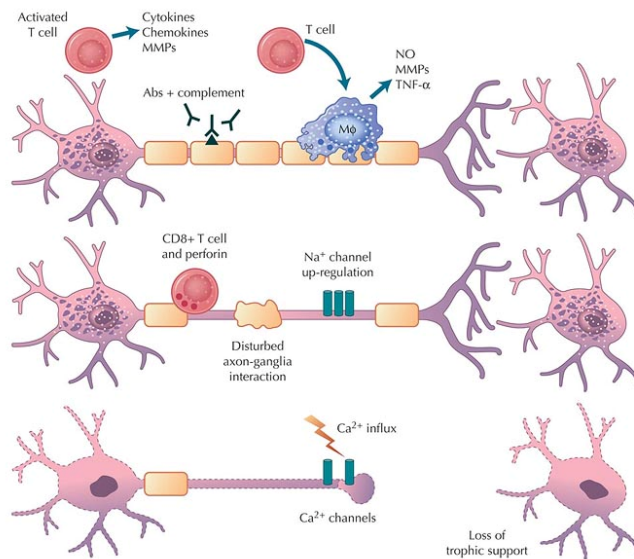
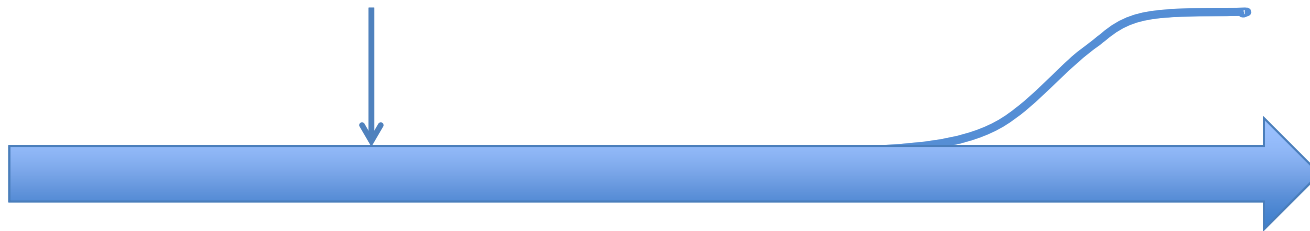
Lucanic et al Nature Comms 2017

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# Pathophysiology



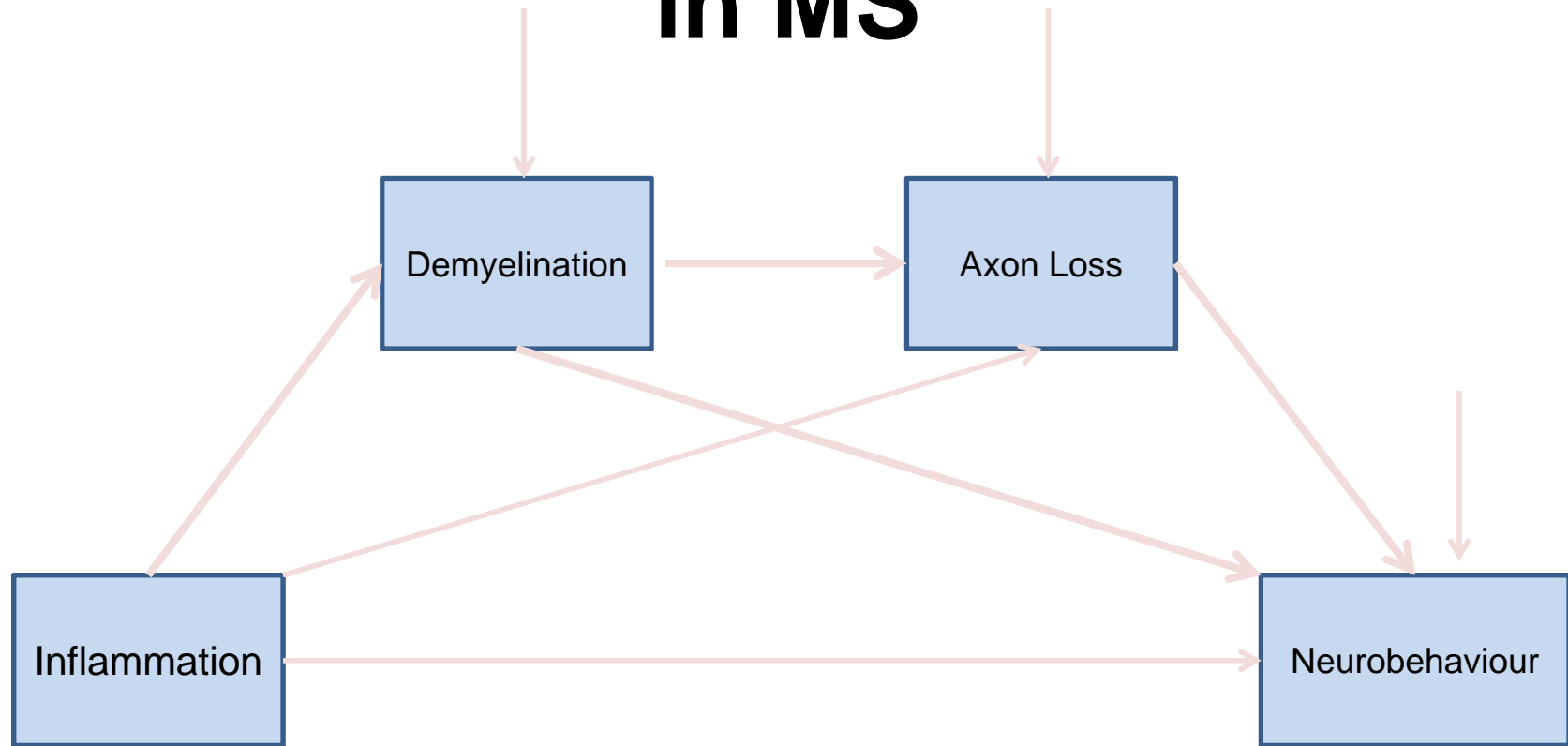
287 experiments identified in SyR  
reporting > 1 outcome domain  
inflammation  
demyelination  
axon loss  
neurobehaviour



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# Drug efficacy in MS





# Pre induction

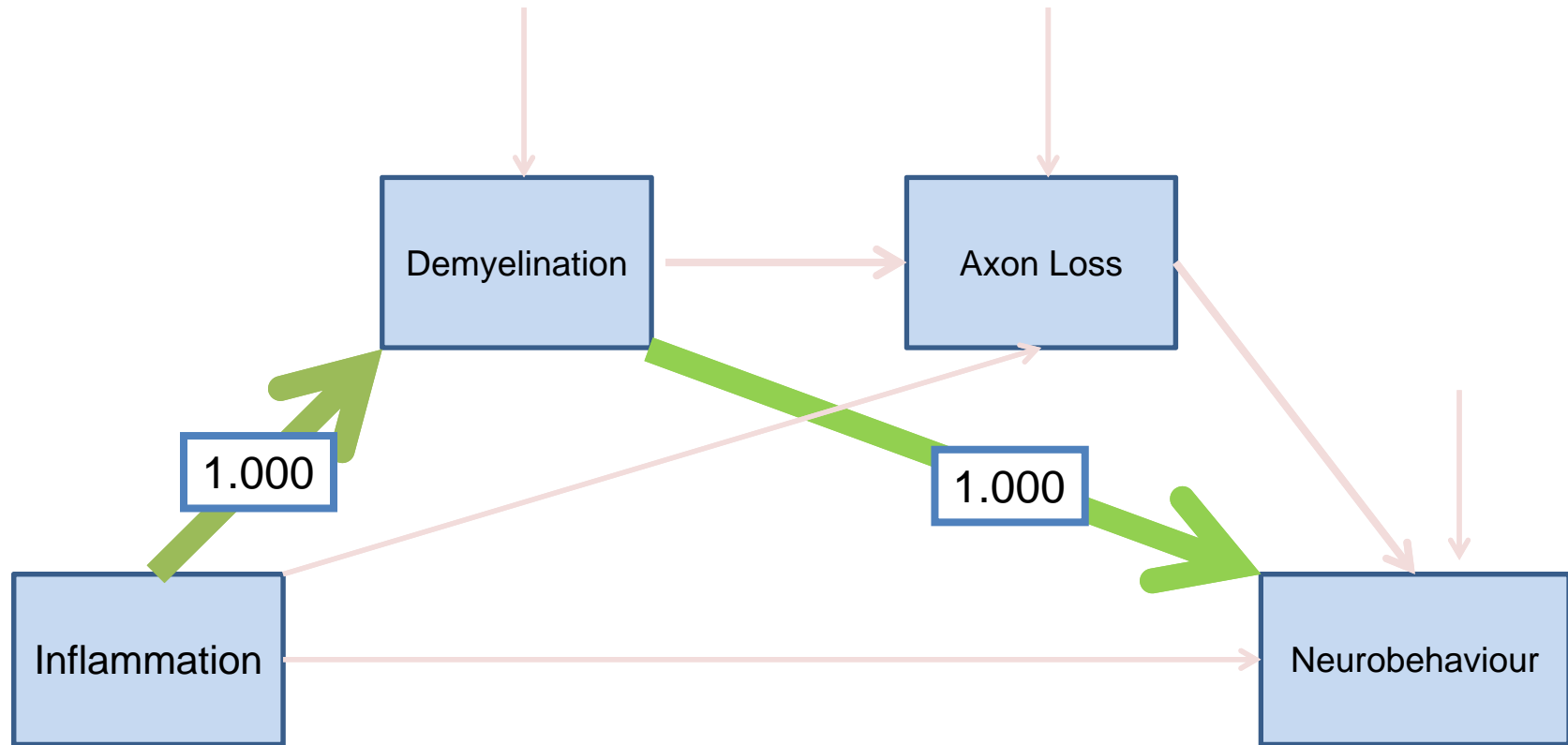




Diagram illustrating the relationships between Inflammation, Demyelination, Axon Loss, and Neurobehaviour. The diagram shows standardized path coefficients (beta weights) for the following paths:

- Inflammation to Demyelination: 0.464 (green arrow)
- Inflammation to Neurobehaviour: 0.470 (red arrow)
- Demyelination to Axon Loss: 0.464 (green arrow)
- Demyelination to Neurobehaviour: 0.066 (green arrow)
- Axon Loss to Neurobehaviour: 0.530 (green arrow)



# Why much published research is false ...



**CAMARADES: Bringing evidence to translational medicine**

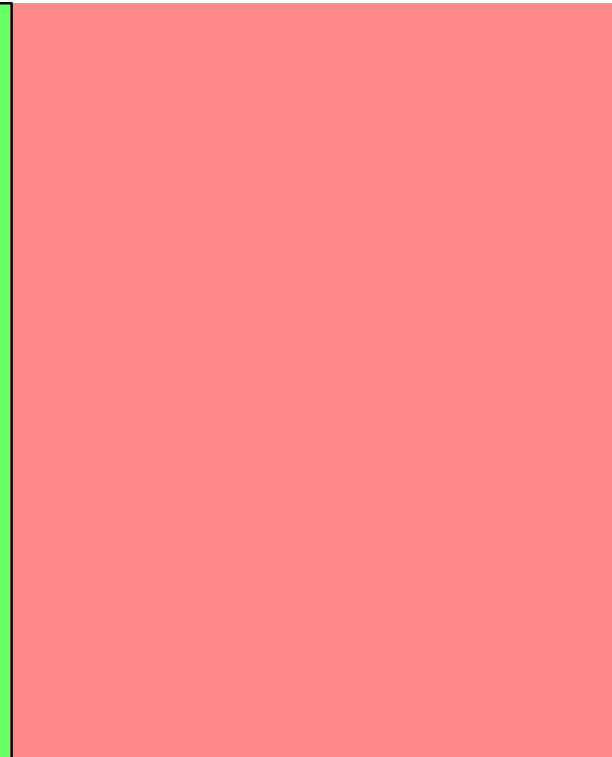
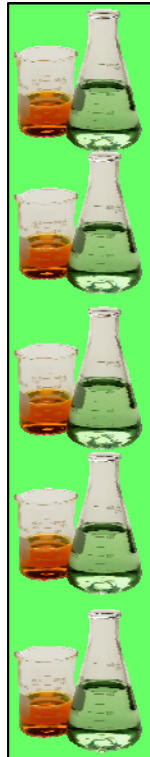


# Why much published research is false ...



## **Assume:**

- 20% of hypotheses in a field are correct







# Why much published research is false ...



## Assume:

- 20% of hypotheses in a field are correct
- Power to detect a biologically important effect of 20%





# Why much published research is false ...



## Assume:

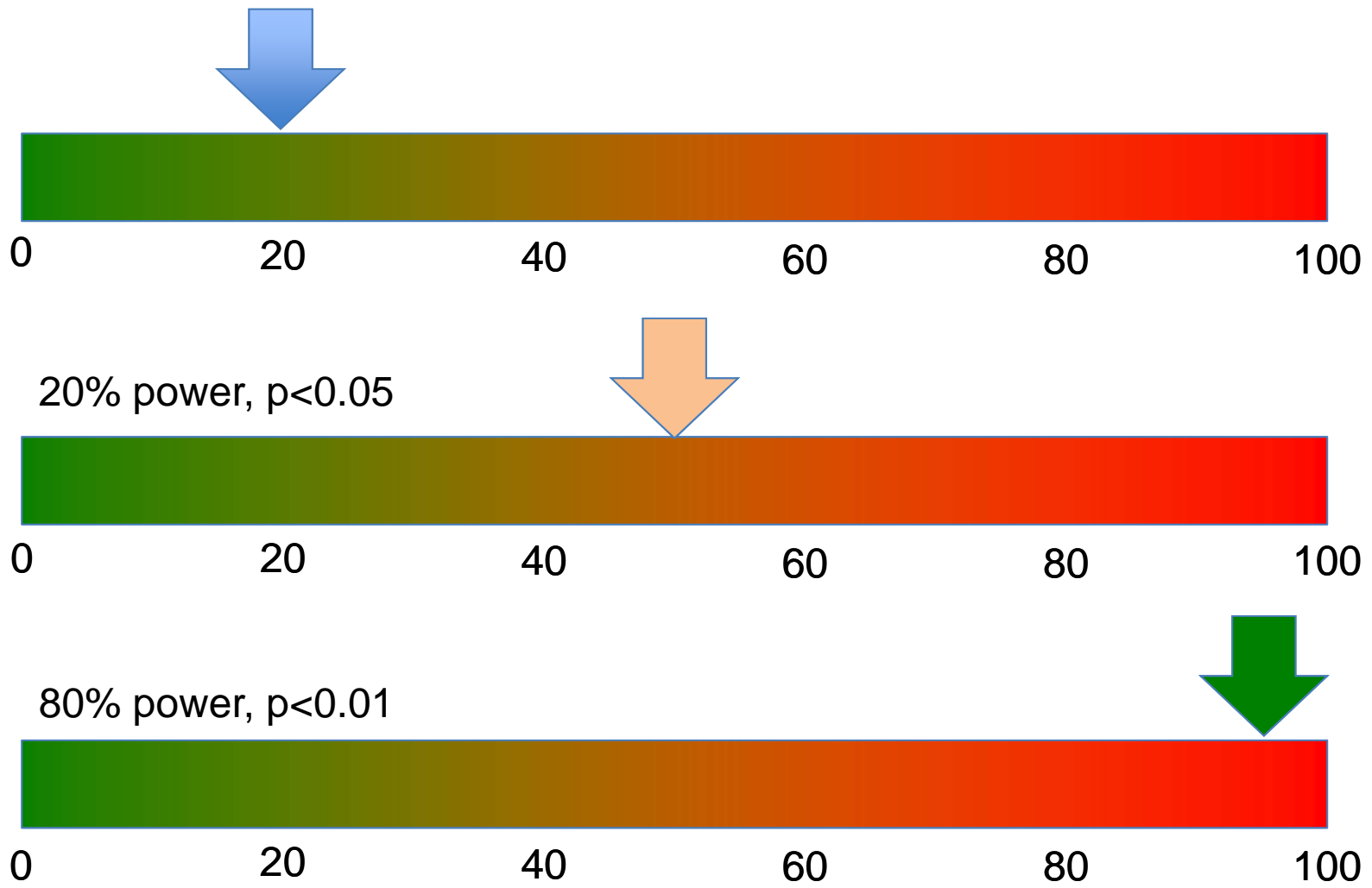
- 20% of hypotheses in a field are correct
- Power to detect a biologically important effect of 20%
- Critical p threshold of 5%

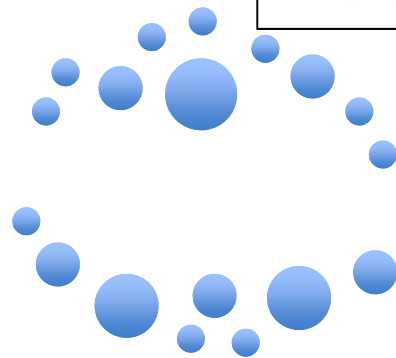
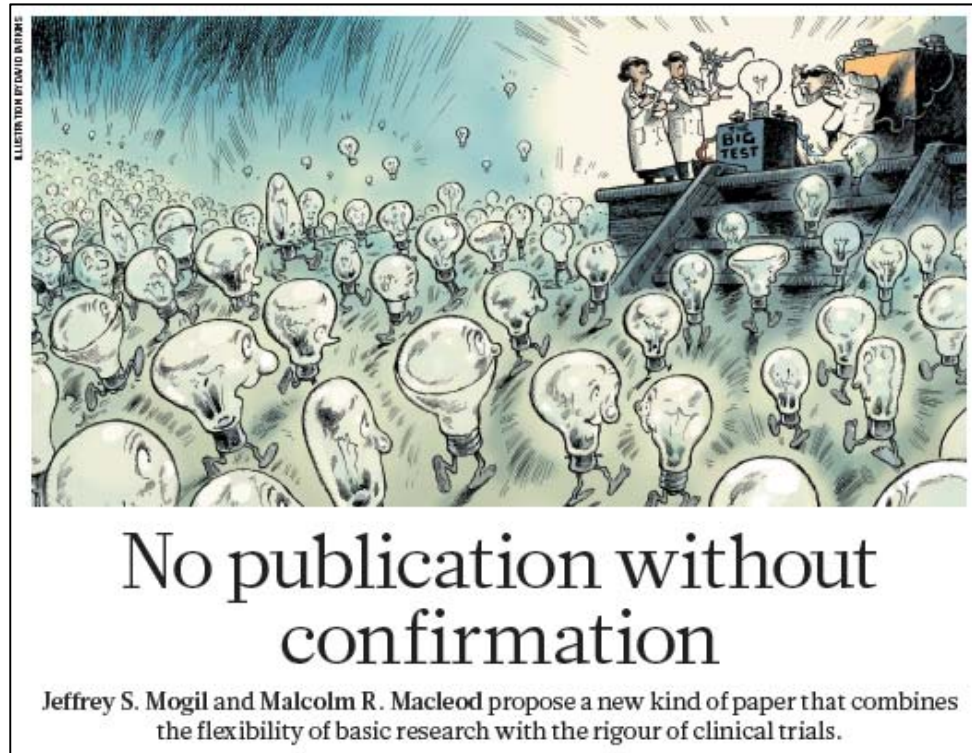


**Positive  
predictive  
value = 50%**



# Value of information added

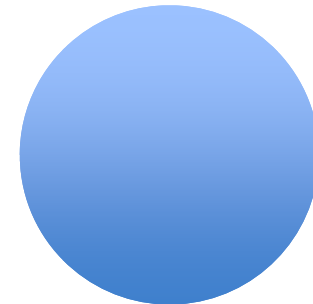




Develop  
hypothesis



Assert  
hypothesis



Test  
hypothesis

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# Protocols



EuroHYP-1  
EudraCT-No 2012-002944-25



189 pages, several years

**EuroHYP-1: European multicentre, randomised, phase III clinical trial of therapeutic hypothermia plus best medical treatment versus best medical treatment alone for acute ischaemic stroke**

Protocols

4 pages, one year

**EuroHYP-1: European multicenter, randomized, phase III clinical trial of therapeutic hypothermia plus best medical treatment vs. best medical treatment alone for acute ischemic stroke**

H. Bart van der Worp<sup>1\*</sup>, Malcolm R. Macleod<sup>2</sup>, Philip M. W. Bath<sup>3</sup>, Jacques Demotes<sup>4</sup>, Isabelle Durand-Zaleski<sup>5</sup>, Bernd Gebhardt<sup>6</sup>, Christian Glud<sup>7</sup>, Rainer Kollmar<sup>8</sup>, Derk W. Krieger<sup>9</sup>, Kennedy R. Lees<sup>10</sup>, Carlos Molina<sup>11</sup>, Joan Montaner<sup>12</sup>, Risto O. Roine<sup>13</sup>, Jesper Petersson<sup>14</sup>, Dimitre Staykov<sup>15</sup>, Istvan Szabo<sup>16</sup>, Joanna M. Wardlaw<sup>17</sup>, Stefan Schwab<sup>15</sup>, and on behalf of the EuroHYP-1 investigators<sup>†</sup>

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# PHISPS protocols

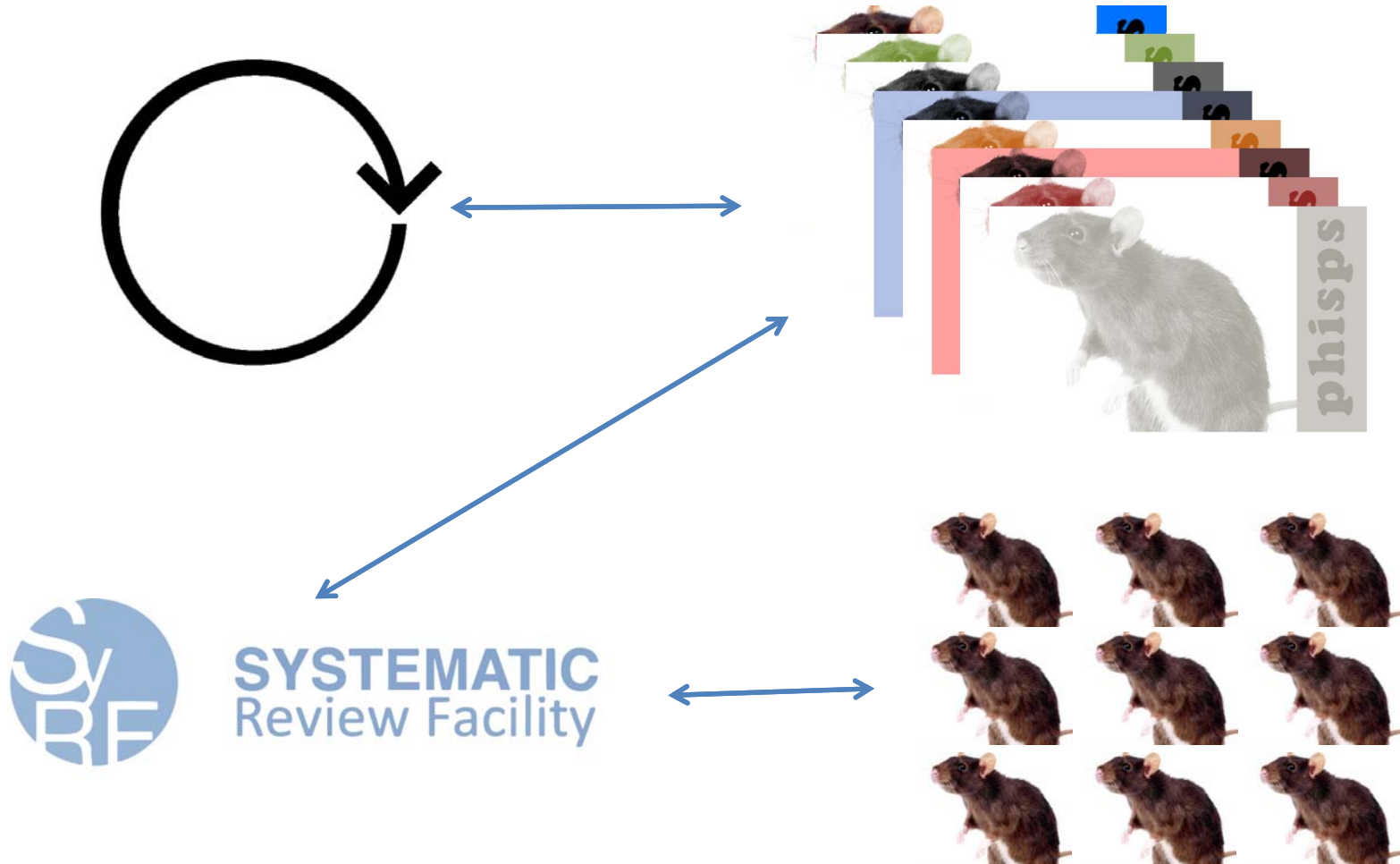


- Population
- Hypothesis
- Intervention
- Sample size calculation
- Primary outcome measure
- Statistical analysis plan





# A proposal ...



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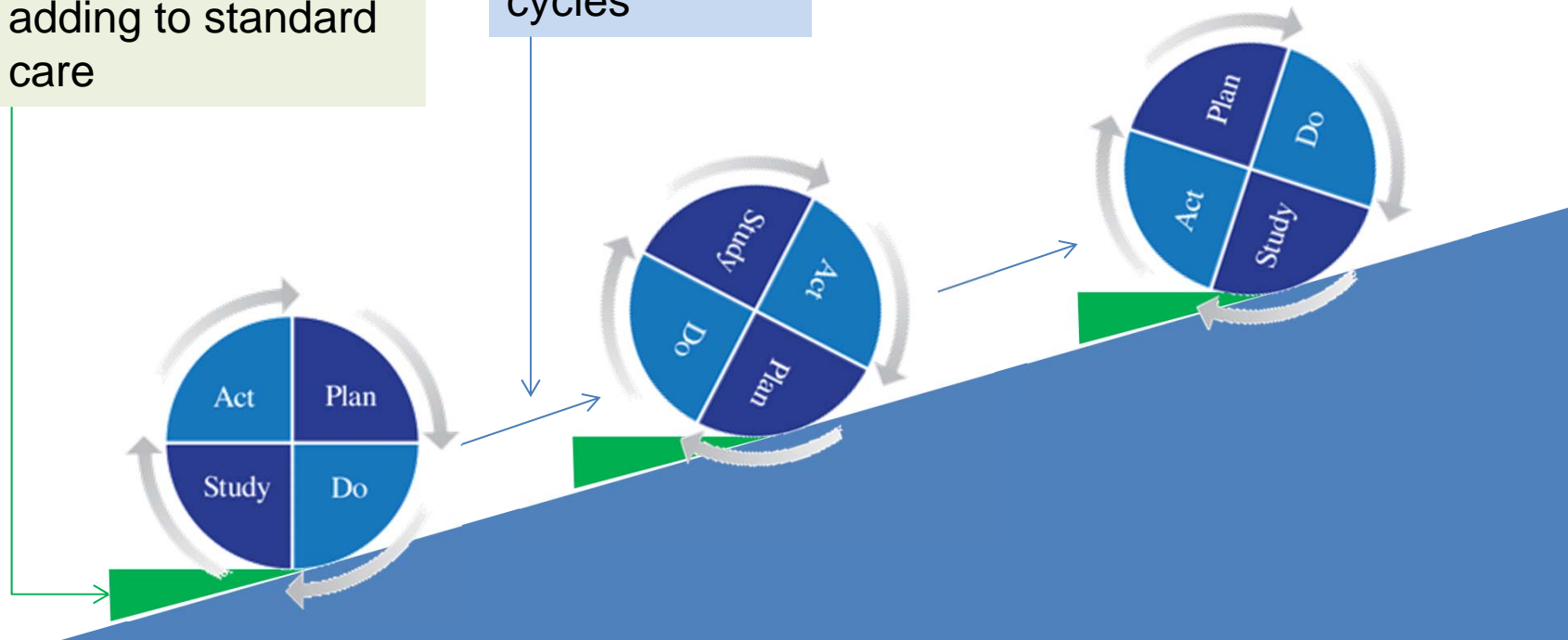


# Strategies to increase benefits from research



Consolidate through adding to standard care

Continuous improvement cycles



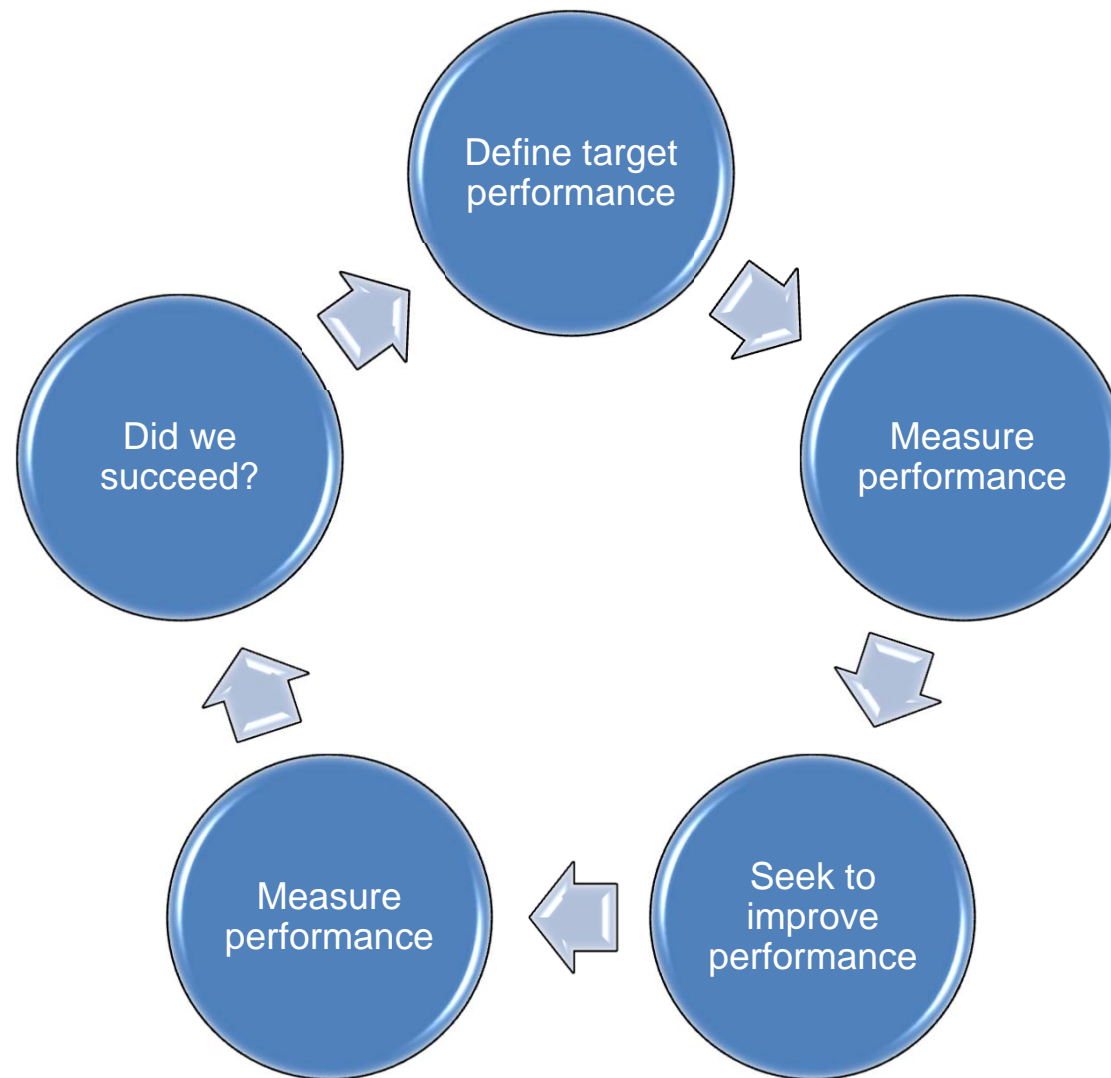
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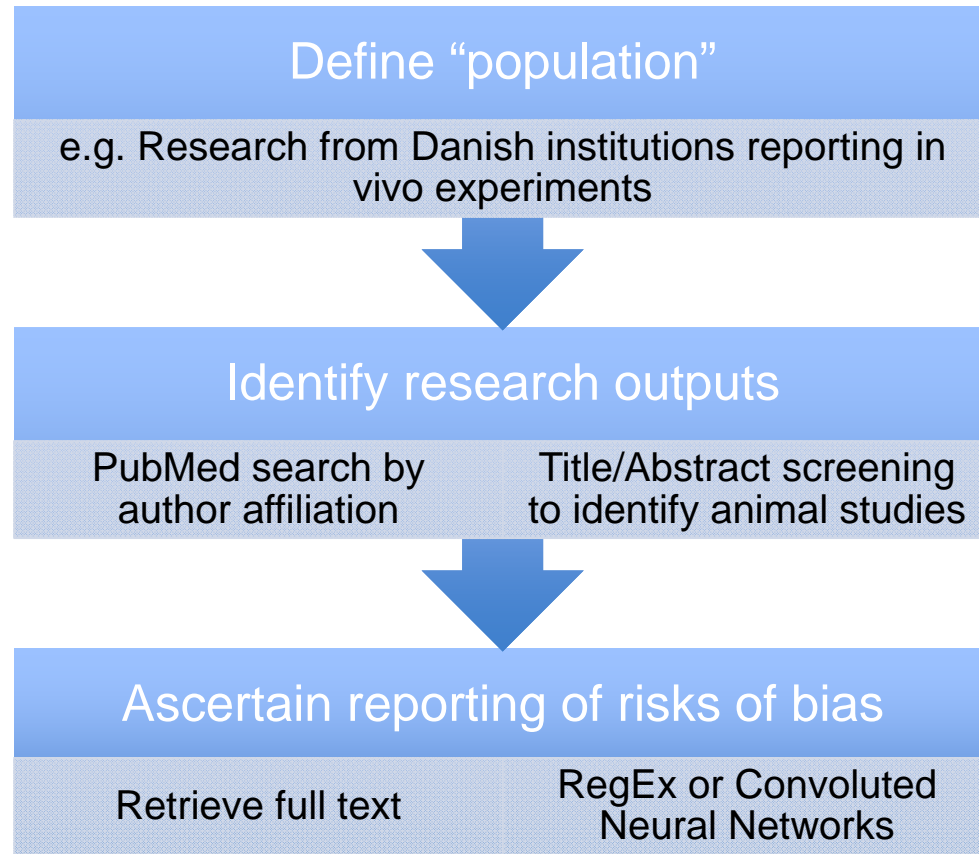
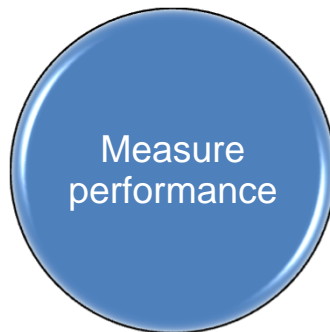
# Research Improvement Activity

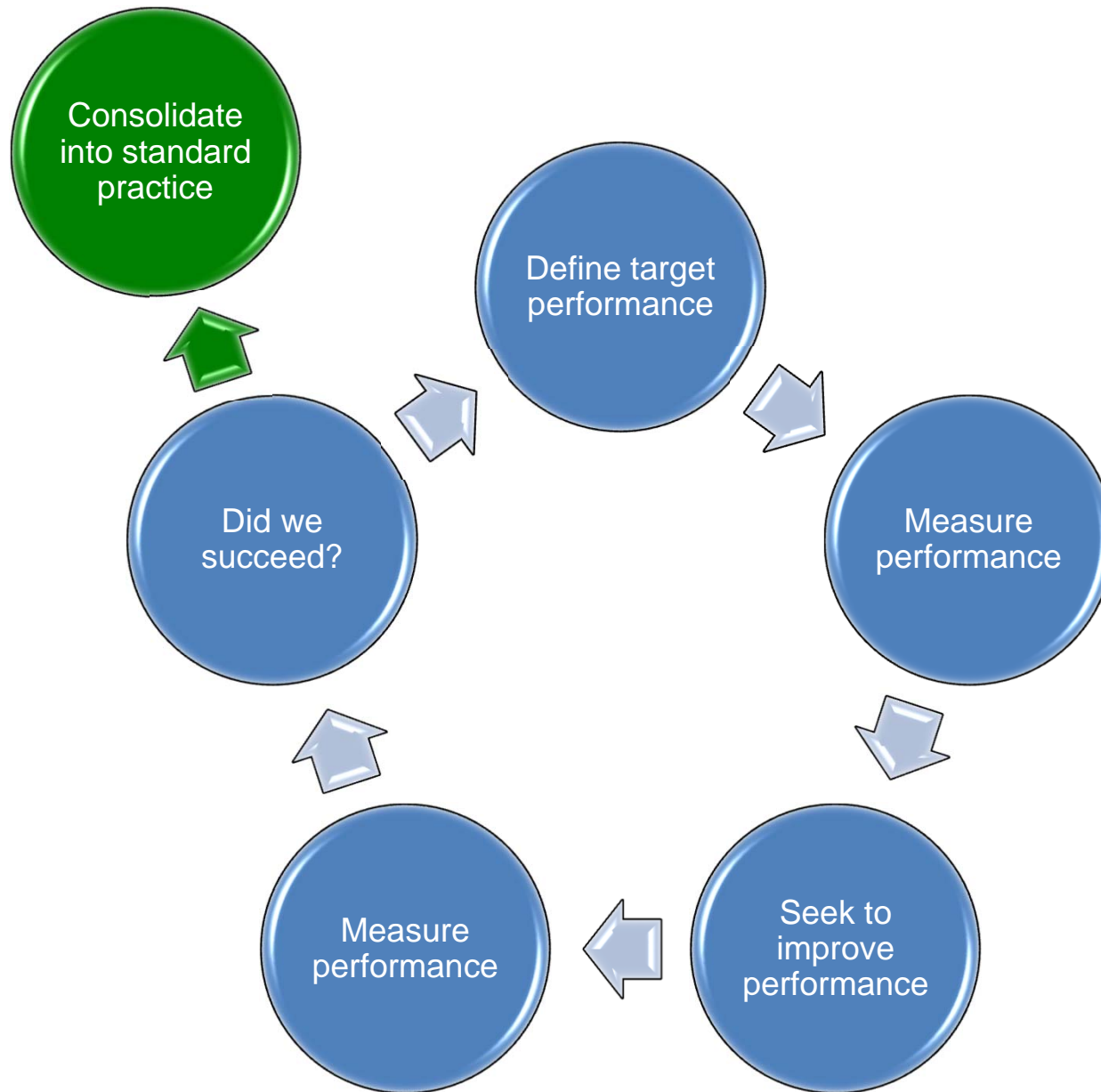


What performance do we aspire to?	“95% of UoE manuscripts describing animal research report randomisation where this would be appropriate”
What is our current performance?	Measure, eg with ML/TM [2009-10 = 8%]
What are we going to do about it?	<ul style="list-style-type: none"><li>• Education sessions for PhD/post Doc/ PIs</li><li>• CPD for investigators</li><li>• Highlighted component of AWERB review</li><li>• Identified factor in resource allocation (open access publication funds, prioritisation of research resources)</li></ul>
Did that make a difference?	Measure, eg with ML/TM
Is performance now good enough?	Stick or twist



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# Strategies to increase value

Level 1	Study reports comply with existing guidelines such as the ARRIVE guidelines, so that there is transparency in what was done
Level 2	Studies are conducted taking appropriate measures to reduce the risk of bias, such as randomisation, blinded conduct of the experiment and blinded assessment of outcome; and are planned on the basis of a coherent sample size calculation
Level 3	Study protocols, including statistical analysis plans, are determined in advanced and are archived such that research users can check where the study as executed deviated from the study as planned
Level 4	The existence of a study is asserted through some system of registration, to address the issue of publication bias
Level 5	The study is planned to have an appropriate positive predictive value, based on the likelihood of refuting the null hypothesis, the statistical power and the chosen Type 1 error; and this is asserted in advance, to avoid misinterpretation
Level 6	Formal strategies to assess the burden of evidence in favour of efficacy are developed, including but not limited to systematic review and meta-analysis of existing evidence and a GRADE-like approach to assess the strength of evidence
Level 7	Where the in vivo data appear promising, to develop tools for multicentre animal studies to confirm effects in "preclinical phase 3 studies"

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**nature**  
International weekly journal of science

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Archive | Volume 496 | Issue 7446 | Editorial | Article

NATURE | EDITORIAL

## Announcement: Reducing our irreproducibility

24 April 2013

PDF Rights & Permissions

Over the past year, *Nature* has published a string of articles that highlight failures in the reliability and reproducibility of published research (collected and freely available at [go.nature.com/huhbyr](http://go.nature.com/huhbyr)). The problems arise in laboratories, but journals such as this one compound them when they fail to exert sufficient scrutiny over the results that they publish, and when they do not publish enough information for other researchers to assess results properly.

From next month, *Nature* and the Nature research journals will introduce editorial measures to address the problem by improving the consistency and quality of reporting in life-sciences articles. To ease the interpretation and improve the reliability of published results we will more systematically ensure that key methodological details are reported, and we will give more space to methods sections. We will examine statistics more closely and encourage authors to be transparent, for example by including their raw data.

Central to this initiative is a checklist intended to prompt authors to disclose technical and statistical information in their submissions, and to encourage referees to consider aspects

**Related stories**

- If a job is worth doing, it

### BOX 1

## A core set of reporting standards for rigorous study design

### Randomization

- Animals should be assigned randomly to the various experimental groups, and the method of randomization reported.
- Data should be collected and processed randomly or appropriately blocked.

### Blinding

- Allocation concealment: the investigator should be unaware of the group to which the next animal taken from a cage will be allocated.
- Blinded conduct of the experiment: animal caretakers and investigators conducting the experiments should be blinded to the allocation sequence.
- Blinded assessment of outcome: investigators assessing, measuring or quantifying experimental outcomes should be blinded to the intervention.

### Sample-size estimation

- An appropriate sample size should be computed when the study is being designed and the statistical method of computation reported.
- Statistical methods that take into account multiple evaluations of the data should be used when an interim evaluation is carried out.

### Data handling

- Rules for stopping data collection should be defined in advance.
- Criteria for inclusion and exclusion of data should be established prospectively.
- How outliers will be defined and handled should be decided when the experiment is being designed, and any data removed before analysis should be reported.
- The primary end point should be prospectively selected. If multiple end points are to be assessed, then appropriate statistical corrections should be applied.
- Investigators should report on data missing because of attrition or exclusion.
- Pseudo replicate issues need to be considered during study design and analysis.
- Investigators should report how often a particular experiment was performed and whether results were substantiated by repetition under a range of conditions.



# NPQIP study

**Objective:** To determine whether a change in editorial policy, including the implementation of a checklist, has been associated with improved reporting of measures which might reduce the risk of bias

**Design:** Observational cohort study

**Population** Articles describing research in the life sciences published in Nature journals, submitted after May 1st 2013 and before November 1st 2014.

**Intervention** Mandatory completion of a checklist at the point of manuscript revision.

**Comparators** (1) Articles describing research in the life sciences published in Nature journals, submitted before May 2013; (2) Similar articles in other journals matched for date and topic.

**Primary Outcome** Change in proportion of Nature publications describing in vivo research published before and after May 2013 reporting the “Landis 4” items (randomisation, blinding, sample size calculation, exclusions).

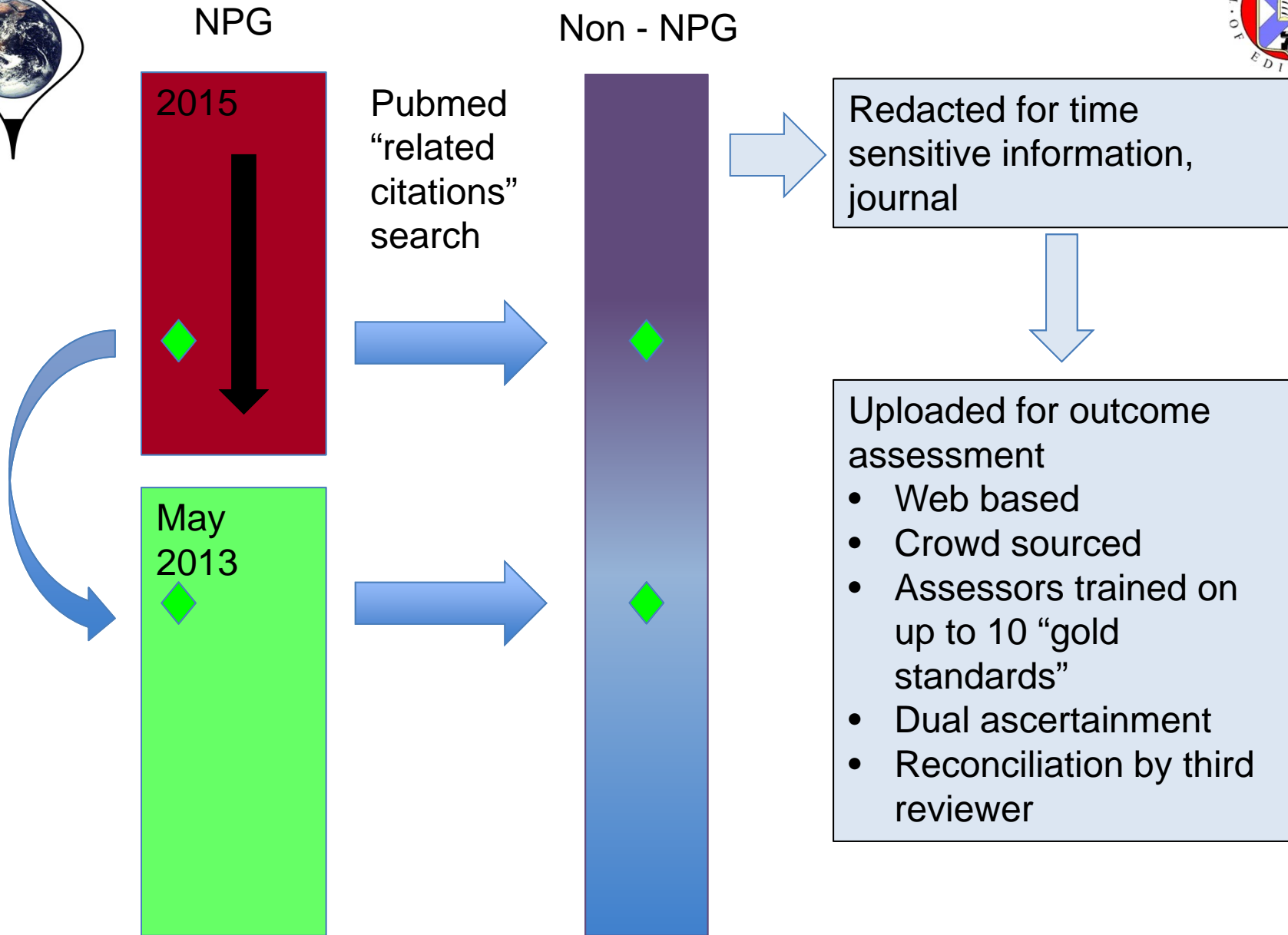
Protocol: Cramond et al (2016) <https://link.springer.com/article/10.1007/s11192-016-1964-8>

Data Analysis Plan: Open Science Framework (June 2017) <https://osf.io/mqet6/>

Funding: Laura and John Arnold Foundation

Publication: <http://www.biorxiv.org/content/early/2017/09/12/187245>

Data: [https://figshare.com/articles/NPQIP\\_final\\_analysis\\_set/5375275](https://figshare.com/articles/NPQIP_final_analysis_set/5375275)





	NPG Publications (n=448)		Non NPG Publications (n=448)	
Publications	Before 01052013 (n=223)	After 01052013 (n=225)	Before 01052013 (n=202)	After 01052013 (n=246)
Initial screen	Excluded: 3	Excluded: 1	Excluded: 8	Excluded: 3
Available for analysis	Analysis (n=220)	Analysis (n=224)	Analysis (n=194)	Analysis (n=243)
Exclusions	Excluded: 1	Excluded: nil	Excluded: nil	Excluded: 1
Final analysis set	n=219	n=224	n=194	n=242
Types of experiment	In vivo	In vivo	In vivo	In vivo
	 60      144      15	 42      148      34	 60      104      30	 77      112      53
	In vitro	In vitro	In vitro	In vitro
Compliance with Landis 4 (in vivo)	0/204	31/190	1/164	1/189



# Compliance with “Landis 4”



## NPG

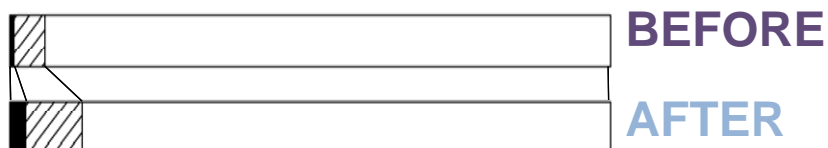
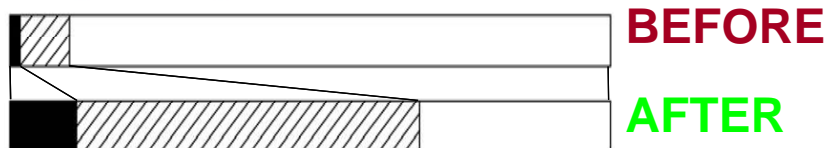
- Before: 0 of 204 (0%, 95% CI 0.0-2.3)
  - After: 31 of 190 (16.3%, 95% CI 11.7-22.0)
- }  $p < 10^{-8}$

## Non NPG

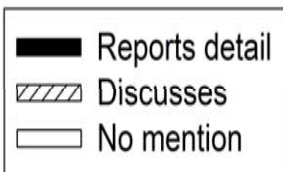
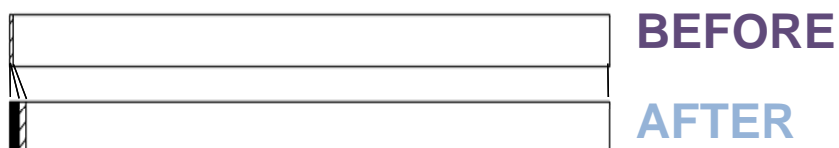
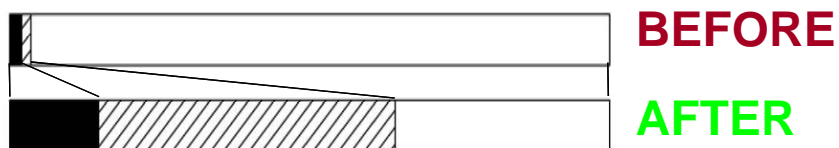
- Before: 1 of 164 (0.6%, 95% CI 0.1-4.2)
  - After: 1 of 189 (0.5%, 95% CI 0.1-3.7)
- } n.s.

# Individual risk of bias items

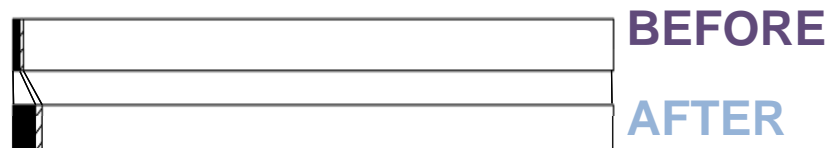
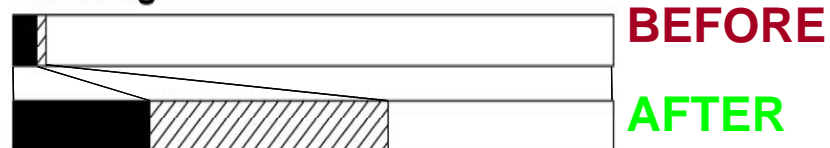
## Randomisation



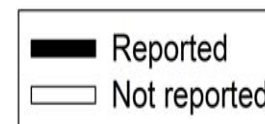
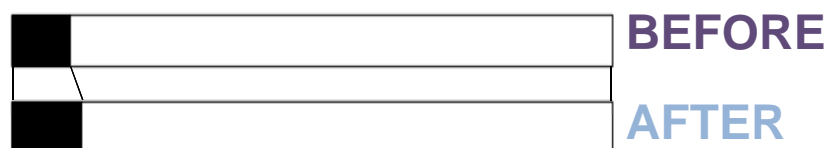
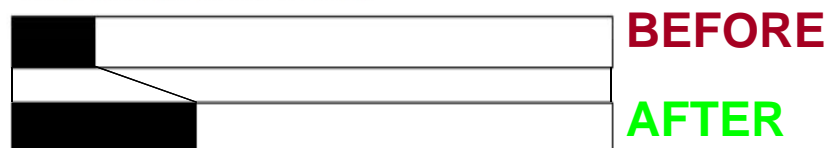
## Sample size calculation



## Blinding



## Reporting exclusions

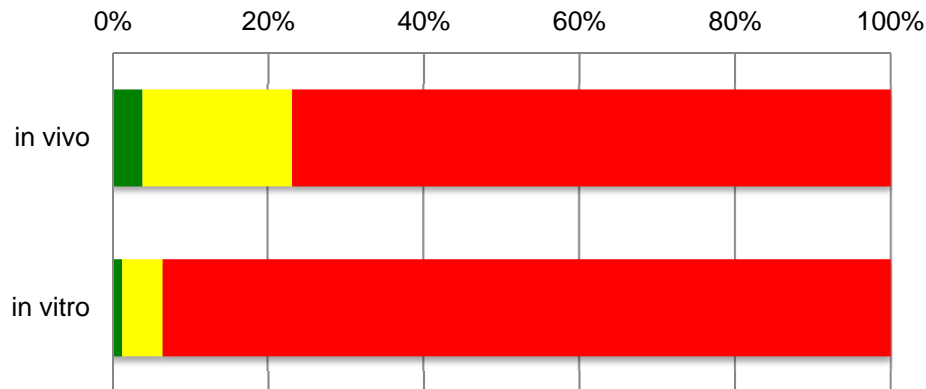




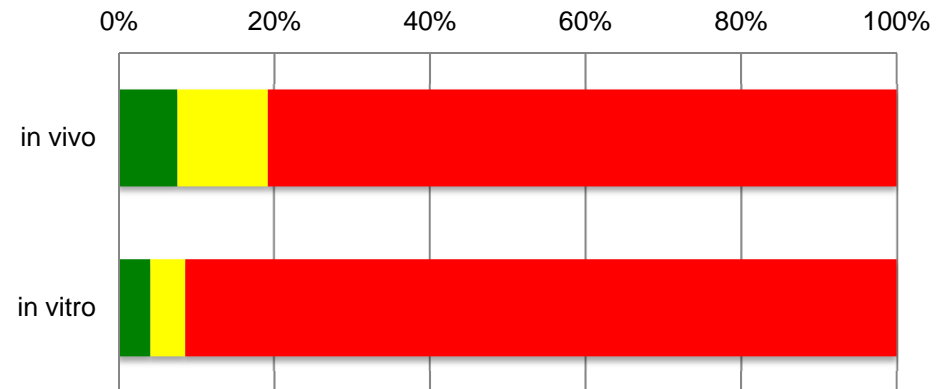


# In vitro experiments

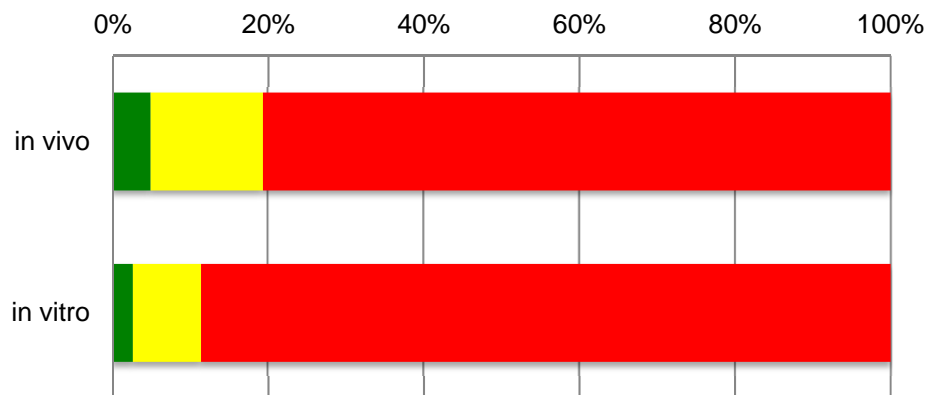
## Randomisation



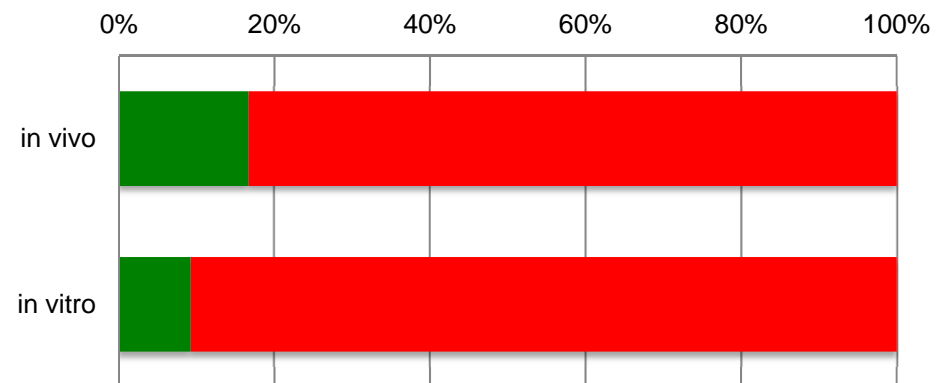
## Blinding



## Power calculation



## Reporting exclusions



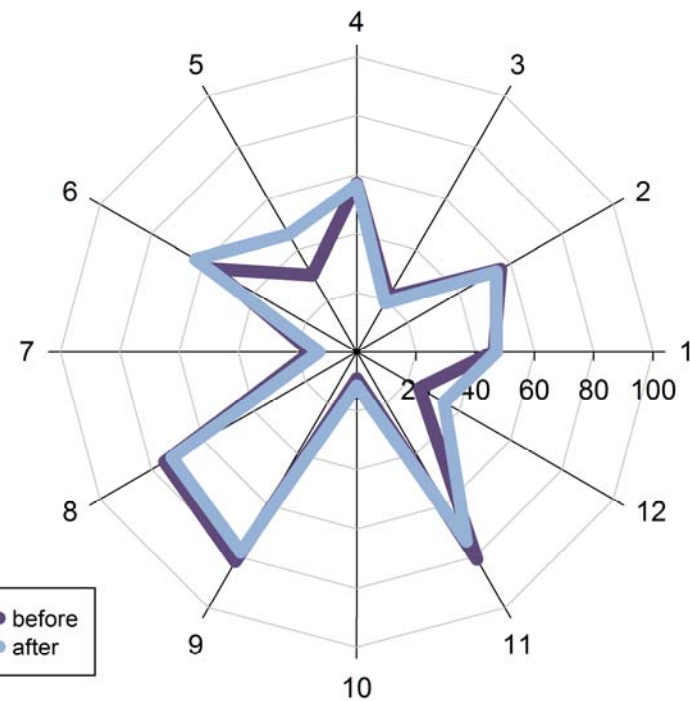
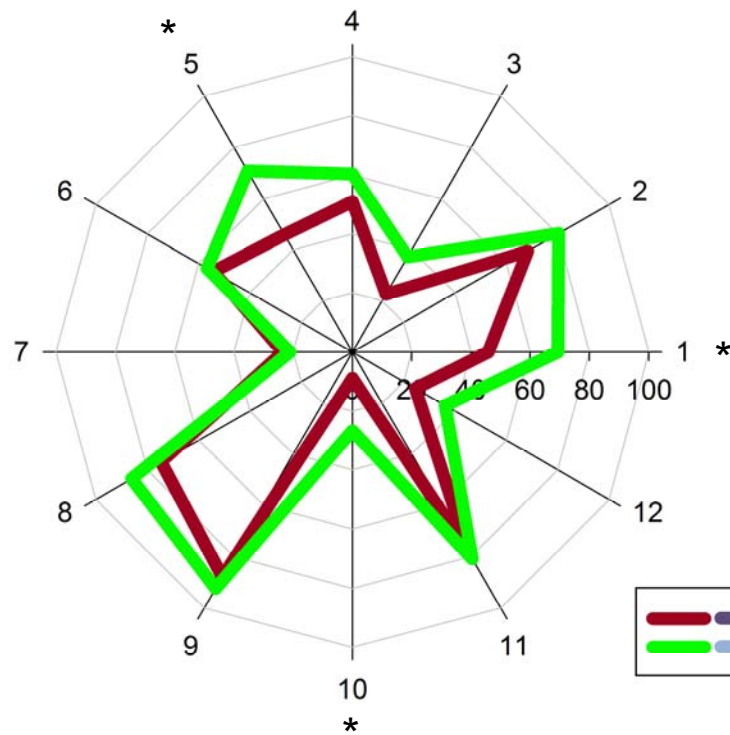
■ Full ■ Partial ■ Null



## in vivo research, statistical reporting

NPG

non NPG



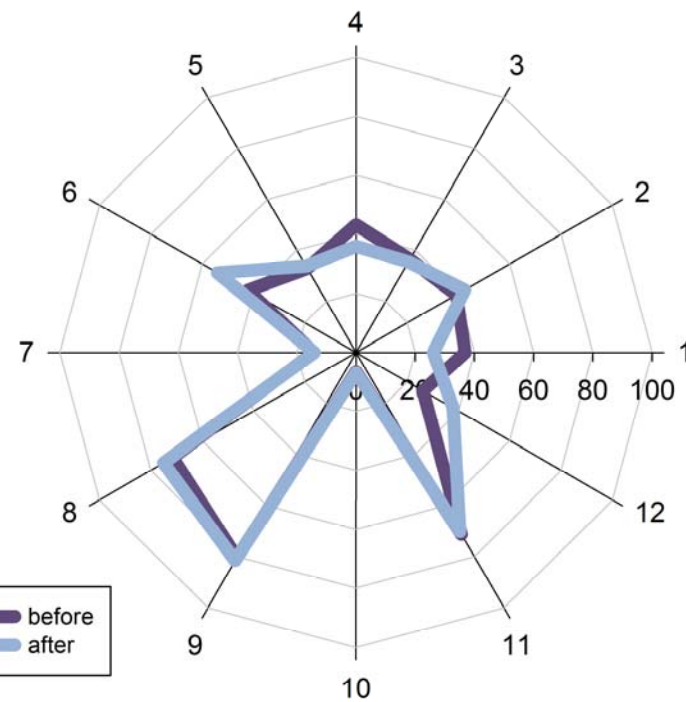
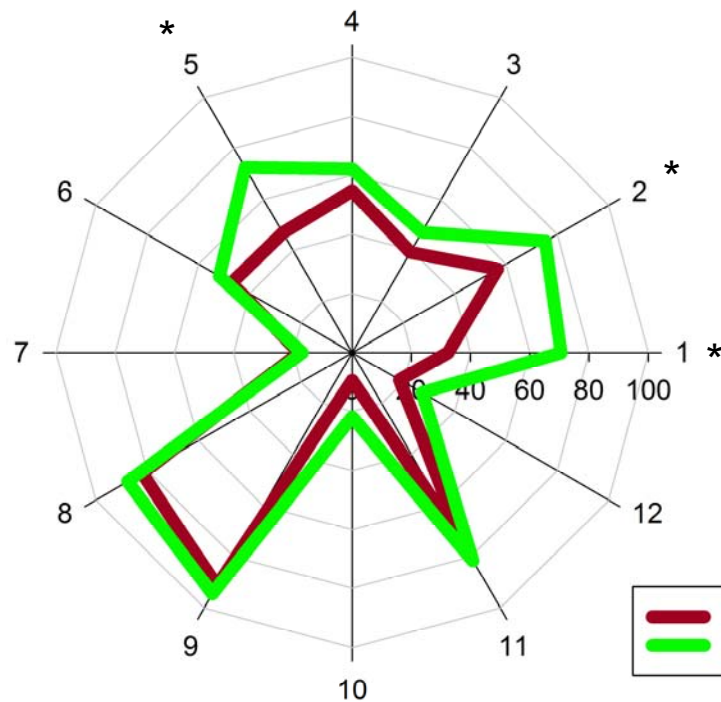
- (1) Exact n (2) Technical or biological replicates (3) Number of times replicated (4) Test described if uncommon? (5) t-test defined as 1 or 2 sided?  
 (6) Correction for multiplicity (7) Reporting full statistics (8) Reporting of average (9) Definition of Error Bars (10) Testing of assumptions  
 (11) Reporting measures of variation (12) Variation < 2 fold



## in vitro research, statistical reporting

NPG

non NPG



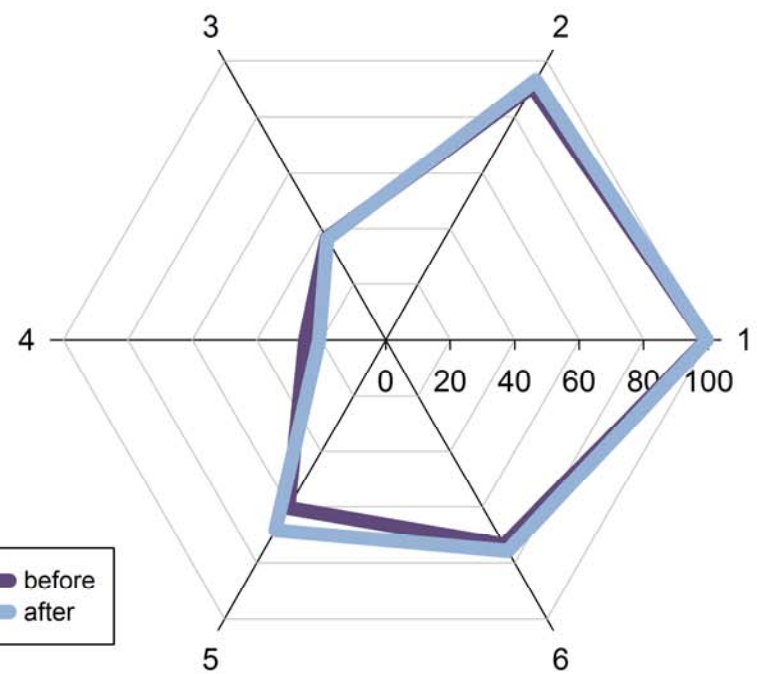
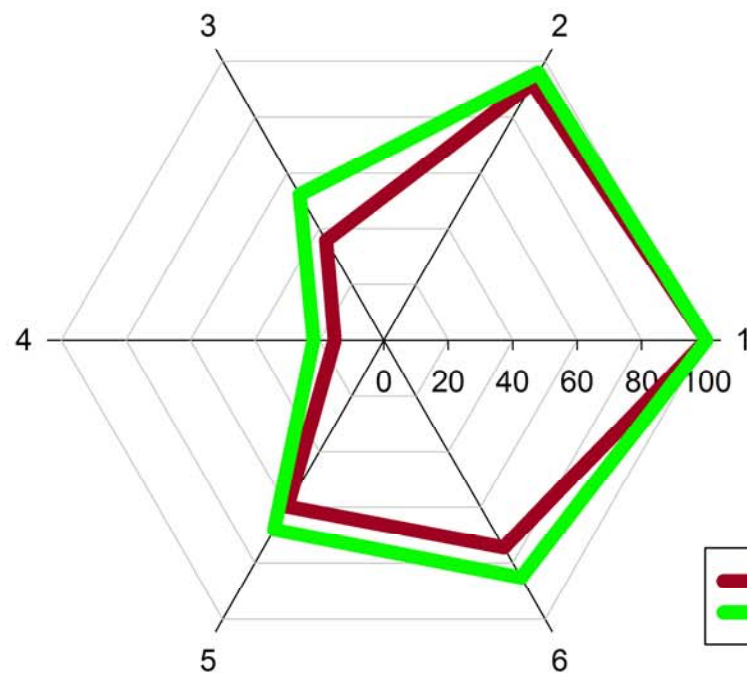
- (1) Exact n (2) Technical or biological replicates (3) Number of times replicated (4) Test described if uncommon? (5) t-test defined as 1 or 2 sided?  
 (6) Correction for multiplicity (7) Reporting full statistics (8) Reporting of average (9) Definition of Error Bars (10) Testing of assumptions  
 (11) Reporting measures of variation (12) Variation < 2 fold



## details of animal experiments

NPG

non NPG



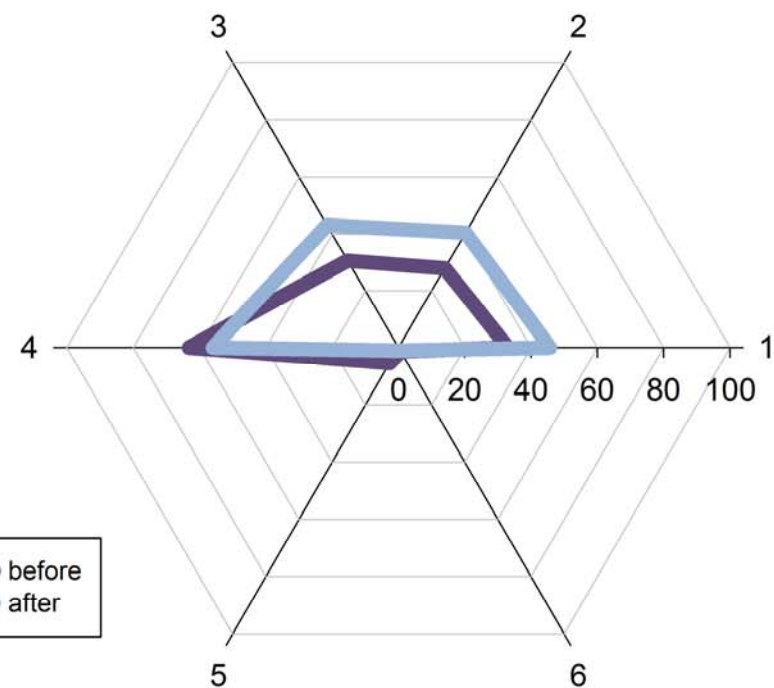
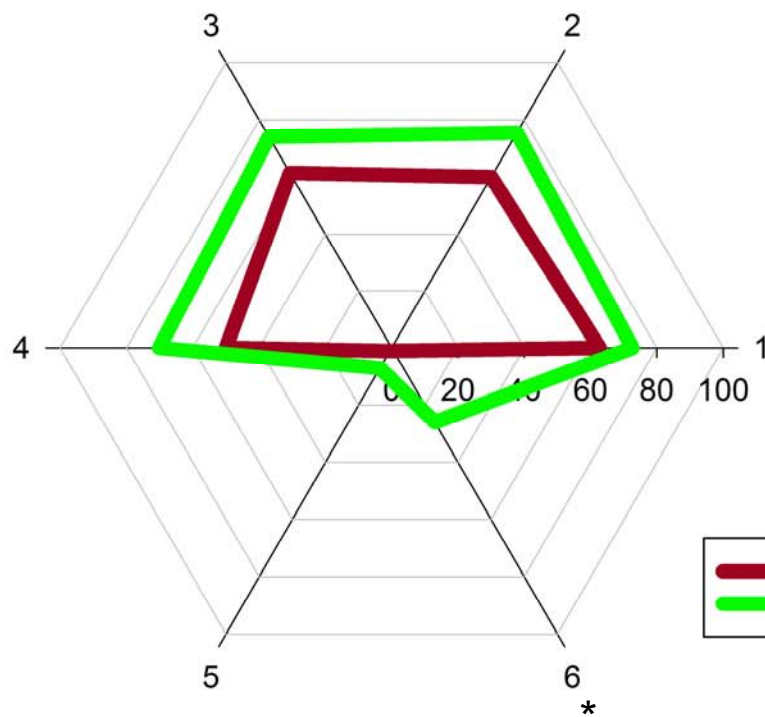
(1) Was the species reported? (2) Was the strain reported? (3) Was the sex reported? (4) Was exact age or weight given? (5) Was ethical approval reported? (6) Ethical guidelines reported?



## antibodies and cell culture details

NPG

non NPG



(1) reporting of antibodies used in In vivo experiments (2) reporting of antibodies used in In vitro experiments (3) Total antibody reporting where used (4) In vitro: cell line source (5) Recent authentication of cell line? (6) Recent mycoplasma testing?





# The ARRIVE guidelines



OPEN ACCESS Freely available online

PLOS BIOLOGY

## Perspective

### Improving Bioscience Research Reporting: The ARRIVE Guidelines for Reporting Animal Research

Carol Kilkenny<sup>1\*</sup>, William J. Browne<sup>2</sup>, Innes C. Cuthill<sup>3</sup>, Michael Emerson<sup>4</sup>, Douglas G. Altman<sup>5</sup>



**NC 3Rs**  
National Centre  
for the Replacement  
Refinement & Reduction  
of Animals in Research

- Journals
- Funders
- Universities
- Learned societies
- Organisations

## ARRIVE

### The ARRIVE Guidelines Checklist

#### Animal Research: Reporting In Vivo Experiments

Carol Kilkenny<sup>1</sup>, William J. Browne<sup>2</sup>, Innes C. Cuthill<sup>3</sup>, Michael Emerson<sup>4</sup> and Douglas G. Altman<sup>5</sup>

<sup>1</sup>The National Centre for the Replacement, Refinement and Reduction of Animals in Research, London, UK, <sup>2</sup>School of Veterinary Science, University of Bristol, Bristol, UK, <sup>3</sup>School of Biological Sciences, University of Bristol, Bristol, UK, <sup>4</sup>National Heart and Lung Institute, Imperial College London, UK, <sup>5</sup>Centre for Statistics in Medicine, University of Oxford, Oxford, UK

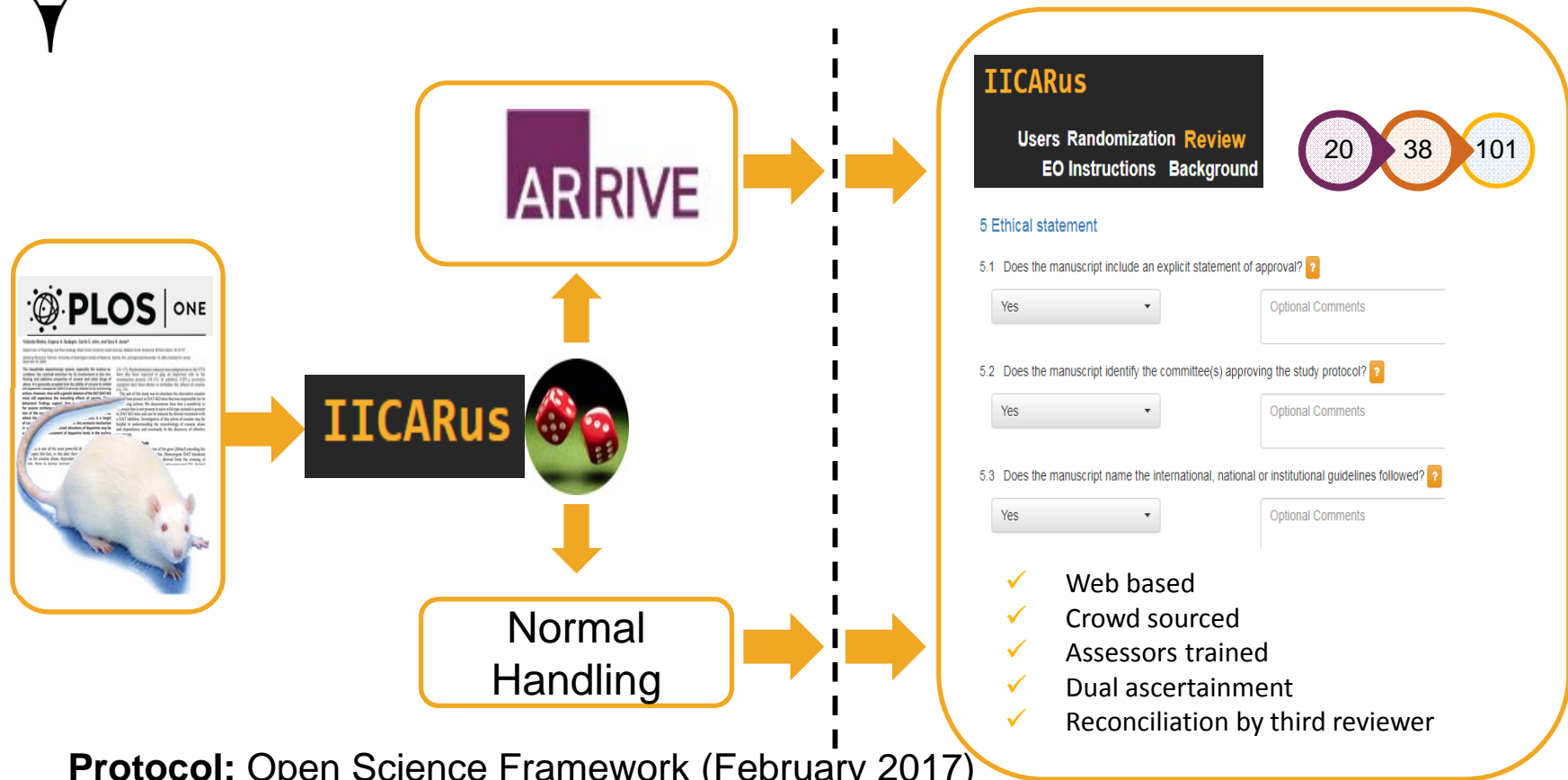
	ITEM	RECOMMENDATION	Section/ Paragraph
Title	1	Provide as accurate and concise a description of the content of the article as possible.	
Abstract	2	Provide an accurate summary of the background, research objectives, including details of the species or strain of animal used, key methods, principal findings and conclusions of the study.	
<b>INTRODUCTION</b>			
Background	3	a. Include sufficient scientific background (including relevant references to previous work) to understand the motivation and context for the study, and explain the experimental approach and rationale. b. Explain how and why the animal species and model being used can address the scientific objectives and, where appropriate, the study's relevance to human biology.	
Objectives	4	Clearly describe the primary and any secondary objectives of the study, or specific hypotheses being tested.	
<b>METHODS</b>			
Ethical statement	5	Indicate the nature of the ethical review permissions, relevant licences (e.g. Animal [Scientific Procedures] Act 1986), and national or institutional guidelines for the care and use of animals, that cover the research.	
Study design	6	For each experiment, give brief details of the study design including: a. The number of experimental and control groups. b. Any steps taken to minimise the effects of subjective bias when allocating animals to treatment (e.g. randomisation procedure) and when assessing results (e.g. if done, describe who was blinded and when). c. The experimental unit (e.g. a single animal, group or cage of animals). A time-line diagram or flow chart can be useful to illustrate how complex study designs were carried out.	
Experimental procedures	7	For each experiment and each experimental group, including controls, provide precise details of all procedures carried out. For example: a. How (e.g. drug formulation and dose, site and route of administration, anaesthesia and analgesia used [including monitoring], surgical procedure, method of euthanasia). Provide details of any specialist equipment used, including supplier(s). b. When (e.g. time of day). c. Where (e.g. home cage, laboratory, water maze). d. Why (e.g. rationale for choice of specific anaesthetic, route of administration, drug dose used).	
Experimental animals	8	a. Provide details of the animals used, including species, strain, sex, developmental stage (e.g. mean or median age plus age range) and weight (e.g. mean or median weight plus weight range). b. Provide further relevant information such as the source of animals, international strain nomenclature, genetic modification status (e.g. knock-out or transgenic), genotype, health/immune status, drug or test naïve, previous procedures, etc.	

The ARRIVE guidelines. Originally published in *PLoS Biology*, June 2010<sup>1</sup>

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# IICARUS: Study design



**Protocol:** Open Science Framework (February 2017)

**Data Analysis Plan:** Open Science Framework (September 2017)

**Funding:** MRC, NC3Rs, BBSRC & Wellcome Trust

**Ethics:** BMJ Ethics Committee

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# Results

## Sample size calculation

### Primary:

- 10% effect,
- $\alpha=0.05$ ,  
 $\beta=80\%$
- $n=100/\text{group}$

### Sub-items:

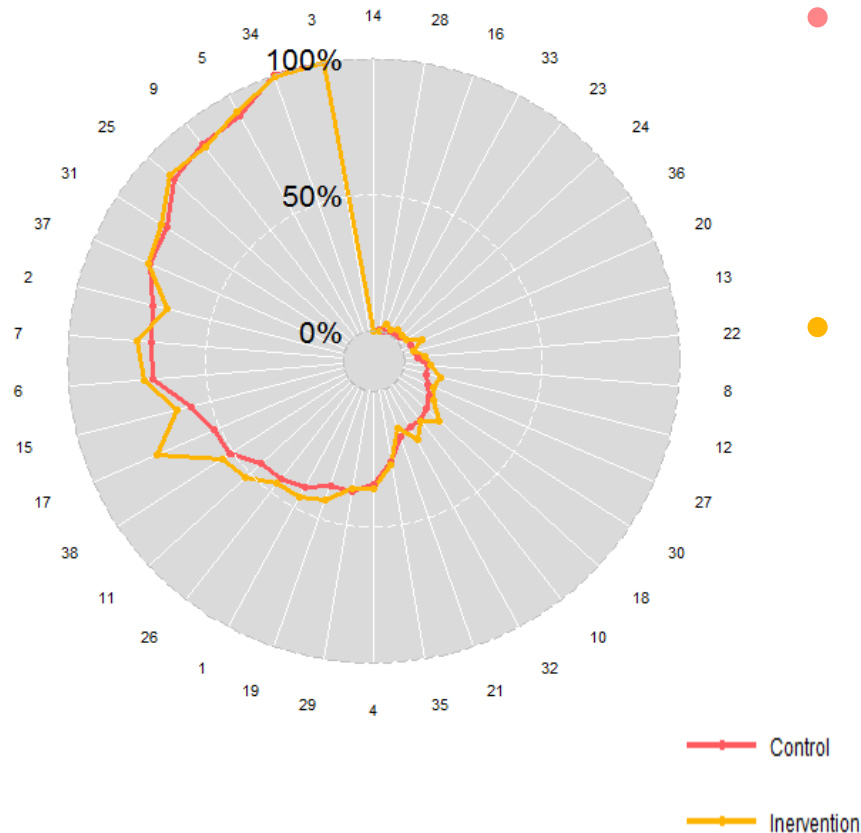
- 20% effect
- $\alpha=0.0013$ ,  
 $\beta=80\%$
- $n=200/\text{group}$

1689 submitted manuscripts randomised

Control 844	Intervention 845
Sent for review 652	Sent for review 647
Accepted 322	Accepted 340
Checklist completed 13	Checklist completed 301
Full compliance 0	Full compliance 0



# Primary outcome



- Control

- Full compliance 0/322
- Median compliance 36.8% (29.7-42.1) of relevant items

- Intervention

- Full Compliance 0/340
- Median compliance 39.5% (31.6-44.7) of relevant items



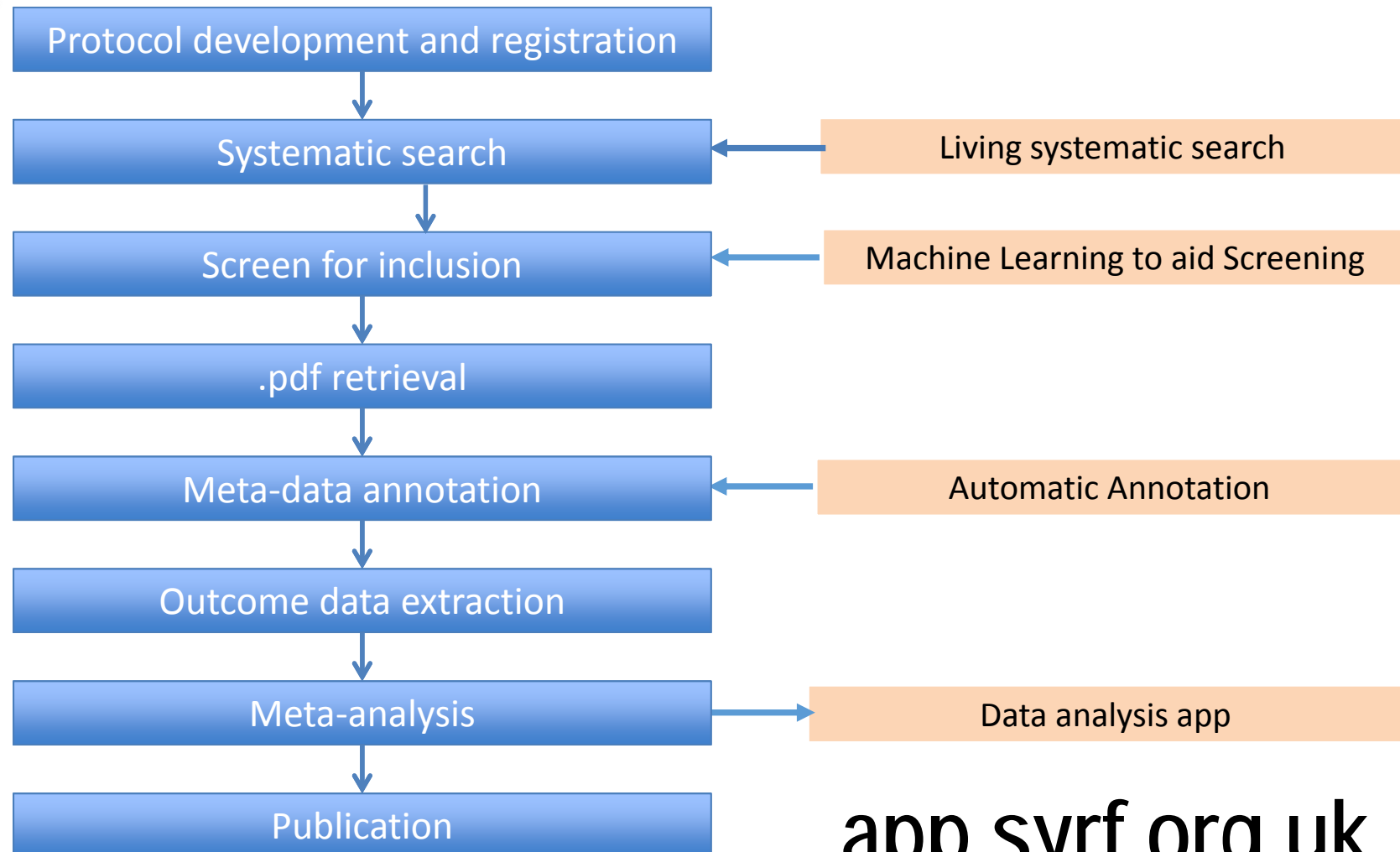
# Resources

- NC3Rs Experimental Design Assistant

The screenshot shows the NC3Rs Experimental Design Assistant (EDA) website. The header includes the NC3Rs logo (National Centre for the Replacement, Refinement & Reduction of Animals in Research), a search bar, and navigation links (Login, Register, social media icons). The main navigation bar lists: Home, The 3Rs, Our science, Our resources, Funding, News, Events, and About us. The breadcrumb trail reads: Home > Our science > Search our science > The Experimental Design Assistant - EDA. The page title is "The Experimental Design Assistant - EDA". The "Overview" section features a button "Click here to access the EDA" and a description: "The Experimental Design Assistant (EDA) is an online tool to guide researchers through the design of their experiments, helping to ensure that they use the minimum number of animals consistent with their scientific objectives, methods to reduce subjective bias, and appropriate statistical analysis." A "System requirements" section states: "We recommend using the EDA with the latest stable release of Chrome. Alternatively, the latest stable release of Mozilla Firefox or Safari can also be used." On the right, the "EDA" logo is displayed, along with the text "Experimental Design Assistant". Below this, a blue box indicates "Office-led project". The "Status" is listed as "Active", and the "NC3Rs Scientist" is identified as "Dr Nathalie Percie du Sert".

<https://www.nc3rs.org.uk/experimental-design-assistant-eda>

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[app.syrf.org.uk](http://app.syrf.org.uk)

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# How scientists might approach their projects



- What parts of this are exploratory and what parts are hypothesis testing?
- What are the community standards in this field?
- Can I randomise, blind during the experiment, blind assessment of outcome?
- For tests of hypotheses, have I
  - Asserted my statistical analysis plan
  - Cemented my protocol where it can be checked
  - Described in advance my criteria for rejecting the null hypothesis
  - Got adequate statistical power to deliver a reasonable positive predictive value?



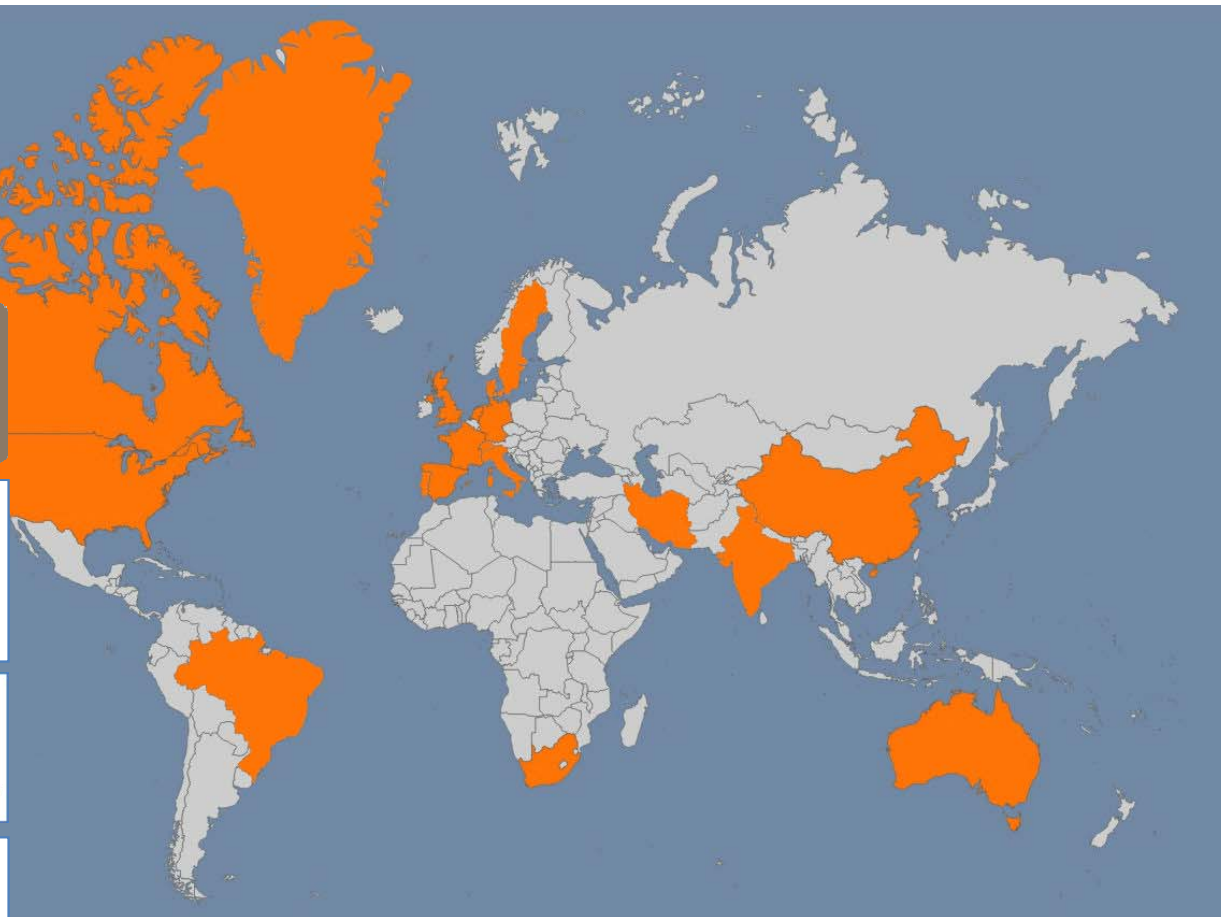
# The future ...



- Protocols/ registered reports as default
- Open access, open data as default
- Research Improvement Activities
  - Audit for improvement
    - Institutional culture
    - Institutional performance (and therefore audit tools)
  - Interventions at level of institution
    - Education
    - Policy changes
  - Interventions with other partners
    - Audit
    - Controlled trials



If you are planning a systematic review or meta-analysis of animal data, CAMARADES are here to help: [malcolm.macleod@ed.ac.uk](mailto:malcolm.macleod@ed.ac.uk)



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